

1

ATTORNEY'S DOCKET NO. C1039/7057 (HCL/MAT)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Davis, et al.
For: VECTORS AND METHODS FOR IMMUNIZATION OR
THERAPEUTIC PROTOCOLS
Express Mail Label: EL844533742US
Date Filed: Herewith

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

In the Specification

Please amend the specification as indicated below. A marked-up version of the specification is attached hereto in Appendix A. Appendix A also identifies the amendments by highlighting (in addition to brackets and underlining). This was done in order to distinguish insertions (by amendment) from sections of text that were already underlined as filed (particularly for nucleic acid sequences).

Please insert on page 1, line 3, after the title of the invention and prior to the section entitled Technical Field the following text:

Related Applications

This application is a divisional of U.S. non-provisional patent application serial no. 09/082,649, filed May 20, 1998, now allowed, which claims priority to U.S. provisional patent application serial no. 60/047,209, filed May 20, 1998 and U.S. provisional patent application serial no. 60/047,233, filed May 20, 1997.

Please re-write the paragraph starting on page 5, line 13, as follows:

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A and 1B are schematic diagrams of the construction of pUK21-A1.

Figures 2A and 2B are schematic diagrams of the construction of pUK21-A2.

Figures 3A and 3B are schematic diagrams of the construction of pUK21-A.

Figures 4A and 4B are schematic diagrams of the construction of pMAS.

Please re-write the paragraph beginning on page 6, line 1, as follows:

Figure 6: Synthetic ODN cannot be mixed with DNA vaccine due to interference with expression from plasmid. The figure shows the effect of adding S-ODN to plasmid DNA expressing reporter gene or antigen. ODN 1826 (10 or 100 µg) was added to DNA constructs (10 µg) encoding hepatitis B surface antigen (HBsAg) (pCMV-S, top panel) or luciferase (pCMV-luc, bottom panel) DNA prior to intramuscular (IM) injection into mice. There was an ODN dose-dependent reduction in the induction of antibodies against HBsAg (anti-HBs, end-point dilution titers at 4 wk) by the pCMV-S DNA (top panel) and in the amount of luciferase expressed in relative light units per sec per mg protein (RLU/sec/mg protein at 3 days) from the pCMV-luc DNA (bottom panel). This suggests that the lower humoral response with DNA vaccine plus ODN was due to decreased antigen expression. Each bar represents the mean of values derived from 10 animals (top panel) or 10 muscles (bottom panel) and vertical lines represent the SEM. Numbers below the bars indicate proportion of animals responding to the DNA vaccine (top panel); all muscles injected with pCMV-luc expressed luciferase (bottom panel).

Please re-write the paragraph beginning on page 6, line 13, as follows:

Figure 7: Interference of ODN with pDNA due to backbone and sequence. The figure shows the interference of ODN with plasmid DNA depends on backbone and sequence. Luciferase activity (RLU/sec/mg protein) in mouse muscles 3 days after they were injected with 10 µg pCMV-luc DNA to which had been added no ODN (none = white bar) or 100 µg of an ODN, which had one of three backbones: phosphorothioate (S = left slanted bars: 1628, 1826, 1911, 1982, 2001 and 2017), phosphodiester (O = thick left slanted bar: 2061), or a phosphorothioate-phosphodiester chimera (SOS = right slanted bars: 1585, 1844, 1972, 1980, 1981, 2018, 2021, 2022, 2023 and 2042). Three S-ODN (1911, 1982 and 2017) and two SOS-ODN (1972 and 2042) did not contain any immunostimulatory CpG motifs. One S-ODN (1628) and three SOS-ODN (1585, 1972, 1981) had poly-G ends and one SOS-ODN (2042) had a poly-G center. The (*) indicates ODN of identical sequence but different backbone: 1826 (S-ODN), 1980 (SOS-ODN) and 2061 (O-ODN). All S-ODN (both CpG and non-CpG) resulted in decreased luciferase activity whereas SOS-ODN did not unless they had poly-G sequences.

Please re-write the paragraph beginning on page 6, line 25, as follows:

Figure 8: Temporal and spatial separation of CpG ODN and plasmid DNA. The figure shows the effect of temporal or spatial separation of plasmid DNA and S-ODN on gene

expression. Luciferase activity (RLU/sec/mg protein) in mouse muscles 3 or 14 days after they were injected with 10 µg pCMV-luc DNA. Some animals also received 10 µg CpG-S ODN which was mixed with the DNA vaccine or was given at the same time but at a different site, or was given 4 days prior to or 7 days after the DNA vaccine. Only when the ODN was mixed directly with the DNA vaccine did it interfere with gene expression.

Please re-write the paragraph beginning on page 7, line 6, as follows:

Figure 9: Immunization of BALB/c mice with CpG-optimized DNA vaccines. The figure shows the enhancement of *in vivo* immune effects with optimized DNA vaccines. Mice were injected with 10 µg of pUK-S, pMAS-S, pMCG16-S or pMCG50-S plasmid DNA bilaterally (50 µl at 0.1 mg/ml in saline) into the TA muscle. The top panel shows the anti-HBs antibody response at 6 weeks (detected as described in methods). Bars represent the group means (n=5) for ELISA end-point dilution titers (performed in triplicate), and vertical lines represent the standard errors of the mean. The numbers on the bars indicate the ratio of IgG2a:IgG1 antibodies at 4 weeks, as determined in separate assays (also in triplicate) using pooled plasma. The bottom panel shows the cytotoxic T lymphocyte activity in specifically restimulated (5 d) splenocytes taken from mice 8 wk after DNA immunization. Bars represent the group means (n=3) for % specific lysis (performed in triplicate) at an effector:target (E:T) ratio of 10:1, dots represent the individual values. Non-specific lytic activity determined with non-antigen-presenting target cells, which never exceeds 10%, has been subtracted from values with HBsAg-expressing target cells to obtain % specific lysis values.

Please re-write the paragraph beginning on page 7, line 19, as follows:

Figure 10 shows induction of a Th2-like response by a CpG-N motif and inhibition of the Th1-like response induced by a CpG-S motif. Anti-HBs antibody titers (IgG1 and IgG2a subclasses) in BALB/c mice 12 weeks after IM immunization with recombinant HBsAg, which was given alone (none) or with 10 µg stimulatory ODN (1826), 10 µg of neutralizing ODN (1631, CGCGCGCGCGCGCGCGCG (SEQ ID NO:22); 1984, TCCATGCCGTTCTGCCGTT (SEQ ID NO:78); or 2010 GCGGCGGCGCGCGCGCCC (SEQ ID NO:75); CpG dinucleotides are underlined for clarity) or with 10 µg stimulatory ODN + 10 µg neutralizing ODN. To improve nuclease resistance for these *in vivo* experiments, all ODN were phosphorothioate-modified. Each bar represents the group mean (n=10 for none; n=15 for #1826 and n=5 for all other groups) for anti-HBs antibody titers as determined by end-point dilution ELISA assay. Hatched portions

of bars indicate antibodies of IgG1 subclass (Th2-like) and white portions indicate IgG2a subclass (Th1-like). The numbers above each bar indicate the IgG2a/IgG1 ratio where a ratio >1 indicates a predominantly Th1-like response and a ratio <1 indicates a predominantly Th2-like response (a value of 0 indicates a complete absence of IgG2a antibodies).

Please re-write paragraph beginning on page 8, line 5, as follows:

Figure 11 shows enhancement of *in vivo* immune effects with optimized DNA vaccines. Mice were injected with 10 µg of pUK-S (white bars), pMAS-S (right slanted bars), pMCG16-S (thin right slanted bars) or pMCG50-S (left slanted bars) plasmid DNA bilaterally (50 µl at 0.1 mg/ml in saline) into the TA muscle. Panel A: The anti-HBs antibody response at 6 weeks (detected as described in methods). Bars represent the group means (n=5) for ELISA end-point dilution titers (performed in triplicate), and vertical lines represent the standard errors of the mean. The numbers on the bars indicate the ratio of IgG2a:IgG1 antibodies at 4 weeks, as determined in separate assays (also in triplicate) using pooled plasma. Panel B: Cytotoxic T lymphocyte activity in specifically restimulated (5 d) splenocytes taken from mice 8 wk after DNA immunization. Bars represent the group means (n=3) for % specific lysis (performed in triplicate) at an effector: target (E:T) ratio of 10:1, dots represent the individual values. Non-specific lytic activity determined with non-antigen-presenting target cells, which never exceeds 10%, has been subtracted from values with HBsAg-expressing target cells to obtain % specific lysis values.

Please re-write the paragraph beginning on page 35, line 8, as follows:

- (i) Insertion of the CMV (human cytomegalovirus) major intermediate early promoter/enhancer region

The CMV promoter (from pcDNA3 position 209 to 863) was amplified by PCR using 30 ng pcDNA3 as a template. The forward PCR primer 5'CGT GGA TAT CCG ATG TAC GGG CCA GAT AT 3'(SEQ ID NO:4) introduced an EcoRV site, and the reverse PCR primer 5' AGT CGC GGC CGC AAT TTC GAT AAG CCA GTA AG 3'(SEQ ID NO:5) introduced a *Nol*I site. After digestion with EcoRV and *Nol*I, a 0.7 kb PCR fragment containing the CMV promoter was purified and inserted into the pUK21 polylinker between XbaI and *Nol*I sites. The *Xba*I sticky end of pUK21 was filled in with the large fragment of T4 DNA polymerase after digestion to create a blunt end. The inserted CMV promoter was confirmed by sequencing. The resulting plasmid was pUK21-A1 (Figures 1A and 1B).

Please re-write the paragraph beginning on page 35, line 19, as follows:

(ii) Insertion of the BGH polyA (bovine growth hormone polyadenylation signal)

BGH polyA (from pcDNA3 position 1018 to 1249) was amplified by PCR using pcDNA3 as template. The forward PCR primer 5' ATT CTC GAG TCT AGA CTA GAG CTC GCT GAT CAG CC 3' (SEQ ID NO:6) introduced *Xba*I and *Xba*I sites, and the reverse PCR primer 5' ATT AGG CCT TCC CCA GCA TGC CTG CTA TT 3' (SEQ ID NO:7) introduced a *Stu*I site. After digestion with *Xba*I and *Stu*I, the 0.2 kb PCR fragment containing the BGH polyA was purified, and ligated with the 3.7 kb *Xba*I-*Stu*I fragment of pUK21-A1. The inserted BGH polyA was confirmed by sequencing. The resulting plasmid was pUK21-A2 (Figures 2A and 2B).

Please re-write the paragraph beginning on page 36, line 24, as follows:

(i) Insertion of the fl origin of replication region

The fl origin and two unique restriction enzyme sites (*Dra*I and *Apal*) were introduced into pUK21-A2 for later vector construction. fl origin (from pcDNA3 position 1313 to 1729) was amplified by PCR using pcDNA3 as template. The forward PCR primer 5' TAT AGG CCC TAT TTT AAA CGC GCC CTG TAG CGG CGC A 3' (SEQ ID NO:8) introduced *Eco*O109I and *Dra*I sites, and the reverse PCR primer 5' CTA TGG CGC CTT GGG CCC AAT TTT TGT TAA ATC AGC TC 3' (SEQ ID NO:9) introduced *Nar*I and *Apal* site. After digestion with *Nar*I and *Eco*O109I, the 0.4 kb PCR fragment containing the fl origin was purified and ligated with the 3.3 kb *Nar*I-*Eco*O109I fragment of pUK21-A2, resulting in pUK21-A (Figures 3A and 3B).

Please re-write the paragraph beginning on page 38, line 22, as follows:

(iii) Replacement of the fl origin with unique restriction enzyme sites

Oligonucleotides 5' AAA TTC GAA AGT ACT GGA CCT GTT AAC A 3' (SEQ ID NO:10) and its complementary strand 5' CGT GTT AAC AGG TCC AGT ACT TTC GAA TTT 3' (SEQ ID NO:11) were synthesized, and 5'-phosphorylated. Annealing of these two phosphorylated oligos resulted in 28 base pair double-stranded DNA containing three unique restriction enzyme sites (*Scal*, *Aval*, *Hpa*I), one sticky end and one blunt end. Replacing the 0.4 kb *Nar*I-*Dra*I fragment of pUK21-B with this double-stranded DNA fragment resulted in the universal vector pMAS for DNA vaccine development (Figures 4A and 4B and 5).

Please re-write the paragraph beginning on page 44, line 11, as follows:

In contrast to the success with protein antigens, attempts to augment immune responses induced by a HBsAg-expressing DNA vaccine by the addition of CpG-S ODN 1826 failed. Surprisingly, the immune responses decreased with the addition of CpG-S ODN in a dose-dependent manner (Figure 6, top panel). Addition of ODN #1826 to a luciferase reporter gene construct (pCMV-luc, Davis *et al.*, 1993b) resulted in a dose-dependent decrease in luciferase expression (Figure 6, bottom panel). This indicates that the negative effects of the CpG-S ODN on the DNA vaccine were due to reduced gene expression rather than an effect on the immune response against the gene product.

Please re-write the paragraph beginning on page 48, line 15, as follows:

Next, different numbers of CpG-S motifs were inserted into the vector by allowing self-ligation of a 20bp DNA fragment with the sequence 5'

GATCCATGACGTTCTGACGTTCCATGACGTTCTGACGTTG 3'(SEQ ID NO:12)
with a complementary strand and inserting different numbers of copies into the *Ava*II site of pMAS. Recombinant clones were screened and the two vectors were chosen for further testing with 16 and 50 CpG-S motifs, and named pMCG16 and pMCG50 respectively.

Please re-write the paragraph beginning on page 51, line 16, as follows:

When tested for their ability to induce cytokine (IL-6 and IL-12) secretion from cultured spleen cells, we found that the pMAS-S, pMCG16-S and pMCG50-S vectors had significantly enhanced immune stimulatory activity compared to pUK-S. When used as a DNA vaccine, the anti-HBs response at 4 and 6 weeks was substantially stronger with DNA vaccines from which CpG-N motifs had been deleted, and even more so when 16 CpG-S motifs had been inserted. The vector with 50 CpG-S motifs, however, was less effective at inducing antibody production than that with 16 motifs. (Figure 11, panel A). Removal of CpG-N motifs and addition of CpG-S motifs resulted in a more than three-fold increase in the proportion of IgG2a relative to IgG1 anti-HBs antibodies, indicating an enhanced Th-1 response. This accentuated Th1 response also was demonstrated by the striking progressive increases in CTL responses induced by vectors from which CpG-N motifs were deleted and/or CpG-S motifs added (Figure 11, panel B).

Please re-write the paragraph beginning on page 53, line 20, as follows:

Based on our *in vitro* experiments we hypothesized that the presence of CpG-N motifs in DNA vaccines interferes with the induction of the desired immune response. Indeed, the

present study demonstrates that elimination of CpG-N motifs from a DNA vaccine leads to improved induction of antibodies. By removing 52 of the CpG-N motifs from a DNA vaccine (45 were deleted and 7 turned into CpG-S motifs) the serologic response was more than doubled; by then adding an additional 16 CpG-S motifs, the response was enhanced nearly 10 fold (Figure 11, panel A). Likewise, CTL responses were improved by removing CpG-N motifs and even more so by adding 16 or 50 CpG-S motifs (Figure 11, panel B). These increased responses are especially notable in view of the fact that the total number of CpG dinucleotides in the mutated vaccines is considerably below the original number.

Please re-write the paragraph beginning on page 54, line 2, as follows:

The finding that the vector with 50 CpG-S motifs was inferior to that with 16 motifs for induction of humoral immunity was unexpected, and may be secondary to CpG-induced production of type I interferons, and subsequent reduction in the amount of antigen expressed. The decreased antibody response induced by pMCG50-S seems unlikely to be explained by vector instability since this vector gave the best CTL responses (Figure 11, panel B). Although the pMCG50-S vector was slightly larger than pMCG16-S, the 10 µg dose still contained 93% as many plasmid copies as it did pMCG16-S, so lower copy number is unlikely to account for the reduced antibody levels. The current generation of DNA vaccines are quite effective in mice, but much less effective in primates (Davis, H.L., *et al.*, *Proc. Natl. Acad. Sci. USA*, 93:7213-7218 (1996); Letvin, N.L., *et al.*, *Proc. Natl. Acad. Sci. USA*, 94:9378-9383 (1997); Fuller, D.H., *et al.*, *J Med. Primatol.*, 25:236-241 (1996); Lu, S., *et al.*, *J Virol.*, 70:3978-3991 (1996); Liu, M.A., *et al.*, *Vaccine*, 15:909-919 (1997); Prince, A.M., *et al.*, *Vaccine*, 15:9196-919 (1997); Gramzinski, R.A., *et al.*, *Molec. Med.*, 4:109-119 (1998)). Our present results indicate that attaining the full clinical potential of DNA vaccines will require using engineered vectors in which CpG-N motifs have been deleted, and CpG-S motifs added.

Please re-write Table 1, beginning on page 56, line 22, as follows:

Table 1.

Primers used for site-directed mutagenesis.

Mutated nucleotides are underlined. Restriction enzyme sites for cloning, are indicated in bold.

Forward primers:

Mu-0F	5' GTC TCTAGACAGCCACTGGTAACAGGATT 3' (845) (SEQ ID NO:23)
Mu-1F	(1144) 5' <u>GTC</u> GTTGT <u>GTC</u> GTCAAGTCACGGTAATGC 3' (1172) (SEQ ID NO:24)
Mu-2F	(1285) 5' <u>TCG</u> TTCTGTAA <u>ATG</u> AAGGAG 3' (I304) (SEQ ID NO:25)
Mu-3F	(1315) 5' <u>DAG</u> GCAGTCCATAGGATGG 3' (1334) (SEQ ID NO:26)
Mu-(4+5)F	(1348) 5' TCG <u>A</u> TCTGC <u>GATTCC</u> <u>A</u> CTCGCCAACATCAATA <u>C</u> 3' (1382) (SEQ ID NO:27)
Mu-6F	(1453) 5' <u>TGG</u> TGAGAATGGCAAAGTT 3' (1472) (SEQ ID NO:28)
Mu-7F	(1548) 5' CATTATT <u>CATT</u> CGTATTGCG 3' (1568) (SEQ ID NO:29)
Mu-8F	(1633) 5' <u>ACG</u> T <u>CTC</u> AGGAACACTGCCAGCGC 3' (1656) (SEQ ID NO:30)
Mu-9F	(1717) 5' <u>AGG</u> ATCGCAGTGGTGAGTA 3' (1736) (SEQ ID NO:31)
Mu-10F	(1759) 5' <u>TATAAA</u> ATGCTTGATGGTCGG 3' (1779) (SEQ ID NO:32)
Mu-(11+12)F	(1777) 5' <u>GGAAGAGGCATAAATT</u> <u>T</u> GT <u>CAG</u> CCAGTTAGTC 3' (1811) (SEQ ID NO:33)
Mu-13F	(1882) 5' <u>TGG</u> CTTCCC <u>CATAAC</u> AGCGAT 3' (I901) (SEQ ID NO:34)
Mu-14F	(1924) 5' <u>TACATTATCGCGAGCCC</u> ATT 3' (1943) (SEQ ID NO:35)
Mu-15F	(1984) 5' <u>TGGCCTCGACGTTCCCG</u> T 3' (2002) (SEQ ID NO:36)

Reverse primers:

Mu-0R	5' ATCG <u>GAATT</u> CAGGGCC <u>T</u> CGTGATA <u>CGC</u> CTA 3' (2160) (SEQ ID NO:37)
Mu-1R	(1163) 5' TGACTTGAC <u>GACAA</u> <u>CGACAG</u> CTCATGAC <u>CAA</u> ATCCC 3' (1125) (SEQ ID NO:38)
Mu-2R	(1304) 5' CTC <u>CTTC</u> <u>CATT</u> ACAGAA <u>ACG</u> <u>A</u> TTTT <u>C</u> AAAATATGGTA 3' (1266) (SEQ ID NO:39)
Mu-3R	(1334) 5' CCAT <u>CC</u> TAT <u>GGAA</u> CT <u>GCCT</u> <u>T</u> GGTGAGTTTC <u>CC</u> TC 3' (1298) (SEQ ID NO:40)
Mu-(4+5)R	(1367) 5' GAGT <u>T</u> GGAA <u>ATCGCAG</u> <u>A</u> TCG <u>ATACC</u> AGGAT <u>CTT</u> GC 3' (1334) (SEQ ID NO:41)
Mu-6R	(1472) 5' AACT <u>TTG</u> CC <u>ATT</u> CT <u>CA</u> CC <u>A</u> TT <u>CG</u> ACT <u>CA</u> 3' (1436) (SEQ ID NO:42)
Mu-7R	(1568) 5' CG <u>CAATCAC</u> GAAT <u>GAATAA</u> <u>T</u> GG <u>TTGG</u> TGAT <u>CG</u> GAG <u>TG</u> 3' (1530) (SEQ ID NO:43)

Mu-8R (1652) 5' TGGCAGTGTTCCCTGAGACGTTGCATTGATTCCTGTT 3' (1615) (SEQ ID NO:44)

Mu-9R (1736) 5' TACTCACCACTGCGATCCCTGGAAAAACAGCATTCCAG 3' (1736) (SEQ ID NO:45)

Mu-10R (1779) 5' CCGACCATCAAGCATTTTACGTACTCCTGATGATGCA 3' (1741) (SEQ ID NO:46)

Mu-(11+12) (1796) 5' CGAATTATGCCCTTCCCACCATCAAGCATTATAC 3' (1758) (SEQ ID NO:47)

Mu-13R (1901) 5' ATCGCTTGATGGGAAGCCAGATGCGCCAGAGTTGTT 3' (1882) (SEQ ID NO:48)

Mu-14R (1943) 5' AATGGGCTCGCGATAATGTAGGGCAATCAGGTGCGAC 3' (1907) (SEQ ID NO:49)

Mu-15R (2002) 5' ACGGGAAACGTCGAGGCCACGATTAATTCCAACATGG 5' (1965) (SEQ ID NO:50)

Please re-write Table 2, beginning on page 59, line 1, as follows:

Table 2 Nucleotide and amino acid sequences of the *AlwNI-EcoO109I* fragment (SEQ ID NO:80)

kan(wt)	2180	AAGGGCTCG	TGATACGCC	ATTTTATAG	GTTAATGCA	TGGGGGGGG	GGGAAAGCC
kan(wt)	2120	ACGTTGTGTC	TCAAATCTC	TGATGTACA	TTGCACAAAG	TAATAATA	TCACTATGAA
kan(wt)	2060	CAATAAAACT	GTCTGCTTAC	ATAAACAGTA	ATACAAGGG	TGTATGAGC	CATATTCAC
ORF						M S	H I Q
kan(wt)	2000	GGGAAACGTC	GAGGCCGCGA	TTAAATTCCA	ACATGGATGC	TGATTTATAT	GGGTATAAAT
kan(mu)			A				
ORF	R E T S	R P R	L N S	N M D A	D L Y	G Y K	
kan(wt)	1940	GGGCTCGGA	TAATGTCGGG	CRATCAGGTG	CGAACATCTA	TGCGTTGTAT	GGGAAGCCCG
kan(mu)		A					A
ORF	W A R D	N V G	Q S G	A T I Y	R L Y	G K P	
kan(wt)	1880	ATGCGCCAGA	GTTGTTCTG	AAACATGGCA	AAGGTAGCGT	TGCCAATGAT	GTTACAGATG
kan(mu)							
ORF	D A P E	L F L	K H G	K G S V	A N D	V T D	
kan(wt)	1820	AGATGGTCAG	ACTAAACTGG	CTGACGGAT	TTATGCTCT	TCCGACCATC	AAGCATTTA
kan(mu)			A			C	
ORF	E M V R	L N W	L T E	F M P L	P T I	K H F	
kan(wt)	1760	TCCGTACTCC	TGATGATGCA	TGGTTACTCA	CCACTGCGAT	CCCCGAAA	ACAGCATTCC
kan(mu)	A					T	
ORF	I R T F	D D A	W L L	T T A I	P G K	T A F	
kan(wt)	1700	AGGTATTAGA	AGAATATCCT	GATTCAAGTG	AAATATTTGT	TGATGCGCTG	GCAGTGTTC
kan(mu)							
ORF	Q V L E	E Y P	D S G	E N I V	D A L	A V F	
kan(wt)	1640	TGGCCCGTT	GCATTCGATT	CCTGTTTGT	ATTTCTCTT	TAACAGCGAT	CGCGTATTC
kan(mu)	A A A						
ORF	L R R L	H S I	P V C	N C P F	N S D	R V F	
kan(wt)	1580	GTCTCGCTA	GGCGCAATCA	CGATGAATA	ACGTTTTGGT	TGATGCGAGT	GATTTGATG
kan(mu)				T			
ORF	R L A Q	A Q S	R M N	N G L V	D A S	D F D	
kan(wt)	1520	ACGGCGCTAA	TGGCTGGCT	GTGAAACAG	TCTGAAAGA	ATAGCATAAA	CTTGGCCAT
kan(mu)							
ORF	D E R N	G W P	V E Q	V W K E	M H K	L L P	
kan(wt)	1460	TCTCACCGGA	TTCACTCGTC	ACTCACTGGT	ATTTCCTACT	TGATAACCTT	ATTTTGACG
kan(mu)	A						
ORF	F S P D	S V V	T H G	D F S L	D N L	I F D	
kan(wt)	1400	AGGGAAATT	AATAGTTGT	ATTAGTGTG	GACGAGTCGG	AATCGCAGAC	CGATACAGG
kan(mu)							
ORF	E G K L	I G C	I D V	G R V G	I A D	R Y Q	
kan(wt)	1340	ATCTTGCCAT	CCTATGGAAC	TGCCCTGGTG	AGTTTCTCC	TTCATTACAG	AAACGGCTTT
kan(mu)			T			T	
ORF	D L A I	L W N	C L G	E F S P	S L Q	K R L	
kan(wt)	1280	TTCAAAATA	TGGTTATGT	AATCTGTATA	TGAATTAATT	GCAGTTTCAT	TTGATGCTCG
kan(mu)							
ORF	F Q K Y	G I D	N P D	M N K L	Q F H	L M L	
kan(wt)	1220	ATGAGTTTT	CTAACATGAA	TTGGTTAATT	GGTTGTAAACA	CTGGCAGAGC	ATTACGCTGA
kan(mu)							
ORF	D E F F						
kan(wt)	1160	CTTGAACCGA	CGCGCGAAC	TCATGACCA	AATCCCTTA	CGTGAGTTT	CGTCCACTG
kan(mu)	AC	AA AC					
kan(wt)	1100	AGCTGTCAGAC	CCCGTAGAA	AGATCAAAGG	ATCTCTTGA	GATCCCTTTT	TTCTGCGCGT
kan(wt)	1040	AATCTGCTGC	TTGCAAAACAA	AAAACCCAC	GCTAACACCG	GTGGTTTGT	TGCGCGATCA
kan(wt)	980	AGAGCTTCA	ACTCTTTTC	CGAAGGTAA	TGGCTTCAGC	AGAGCCAGA	TACCAAAATAC
kan(wt)	920	TGTTCTCTCA	GTGTAAGCGT	AGTTAGGGCA	CCACTTCAG	AACCTCTGAG	CACCGCTAC
kan(wt)	860	ATACCTCGCT	CTGCTAATCC	TGTTACAGT	GCGCTGCTGCC		

Note: Mutated nucleotides are underlined. The *AlwNI* and *EcoO109I* sites are indicated in bold type. The nucleotide numbering scheme is the same as the backbone vector pUK21.

Please re-write Table 3, beginning on page 60, line 1, as follows:

Plasmid DNA Vectors

Davis *et al.* (1998)

Table 3

Plasmids containing immunostimulatory CpG motifs

Plasmid	Backbone	No. CpG Motifs	Species Specificity and ODN Equivalence of CpG-S Insert
pMCG-16	pMAS	16	mouse-specific CpG motif #1826 ¹
pMCG-50	pMAS	50	
pMCG-100	pMAS	100	
pMCG-200	pMAS	200	
pHCG-30	pMAS	30	human-specific CpG motif - no ODN equivalent ²
pHCG-50	pMAS	50	
pHCG-100	pMAS	100	
pHCG-200	pMAS	200	
pHIS-40	pMAS	40	human-specific CpG motif #2006 ³
pHIS-64	pMAS	64	
pHIS-128	pMAS	128	
pHIS-192	pMAS	192	

¹ sequence of 1826 is TCCATGACGTTCCTGACGT (SEQ ID NO:51)

² sequence used as a source of CpG motifs is
GACTTCGTGTCGTTCTGTCGTTAGCGCTTCTCCTGCGTGCGTCCCTG (SEQ ID NO:14)

³ sequence of 2006 is TCGTCGTTTGTCGTTTGTCGTT (SEQ ID NO:3)

Please re-write Table 4, beginning on page 61, line 1, as follows:

Table 4

Plasmids encoding hepatitis B surface antigen (derived from ayw or adw subtypes of HBV)

Plasmid	Backbone	Insert
pUK-S	pUK21-A2	HBV-S (ayw)
pUKAX-S	pUK21-AX*	HBV-S (ayw)
pMAS-S	pMAS	HBV-S (ayw)
pMCG16-S	pMCG-16	HBV-S (ayw)
pMCG50-S	pMCG-50	HBV-S (ayw)
pMCG100-S	pMCG-100	HBV-S (ayw)
pMCG200-S	pMCG-200	HBV-S (ayw)
pHCG30-S	pHCG-30	HBV-S (ayw)
pHCG50-S	pHCG-50	HBV-S (ayw)
pHCG100-S	pHCG-100	HBV-S (ayw)
pHCG200-S	pHCG-200	HBV-S (ayw)
pHIS40-S(ad)	pHIS-40	HBV-S (adw2)
pHIS64-S(ad)	pHIS-64	HBV-S (adw2)
pHIS128-S(ad)	pHIS-128	HBV-S (adw2)
pHIS192-S(ad)	pHIS-192	HBV-S (adw2)

*pUK21-AX was created by deleting f1 origin from pUK21-A

20255101.0

Please re-write Table 5, beginning on page 62, line 1, as follows:

Table 5 Sequence comparison of pUK21-A2 (SEQ ID NO:83) and pGT (SEQ ID NO:84). 75 point-mutations (indicated with *) in pUK21-A2 results in the gene therapy vector (pGT)

pUK21-A2(1)	GAATTGAGC TCCCGGGTAC CATGGCATGC ATCGTGTAGT CTCGAGCTCA GACTAGAGCT
pGT	GAATTGAGC TCCCGGGTAC CATGGCATGC ATCGTGTAGT CTCGAGCTCA GACTAGAGCT
pUK21-A2(61)	CGCTGATCAG CCTGCACTGT GCCTTCTAGT TGCCAGGCCAT CTGTGTTTG CCCCTCCCC
pGT	CGCTGATCAG CCTGCACTGT GCCTTCTAGT TGCCAGGCCAT CTGTGTTTG CCCCTCCCC
pUK21-A2(121)	GTGCTCTCT TGACCTCTGA AGGGGGCCACT CCCACTGTCC TTTCCTAATA AAATGAGGAA
pGT	GTGCTCTCT TGACCTCTGA AGGGGGCCACT CCCACTGTCC TTTCCTAATA AAATGAGGAA
pUK21-A2(181)	ATTTGATCGG ATTTGCTGAG TAGGTGTCTAT TCTATTCCTGG GGGGTGGGGT GGGGCAGGAC
pGT	ATTTGATCGG ATTTGCTGAG TAGGTGTCTAT TCTATTCCTGG GGGGTGGGGT GGGGCAGGAC
pUK21-A2(241)	AGCAGGGGG AGGATGGGA AGACATTAAGC AGGCATGCTG GGGAAAGCCCT CGGAACTAGTG
pGT	AGCAGGGGG AGGATGGGA AGACATTAAGC AGGCATGCTG GGGAAAGCCCT CGGAACTAGTG
pUK21-A2(301)	GGCTATCATG GSTCATGACT GTTTCTGTG TGAATTTGTT ATCCCTCTAC AATTCACAC
pGT	GGCTATCATG GSTCATGACT GTTTCTGTG TGAATTTGTT ATCCCTCTAC AATTCACAC
*	*
pUK21-A2(361)	AACATCGAG CGCGGGGAGC ATAAAGTGTAA AGACCTGGGG TGCTTAATGA GTGAGCTAAC
pGT	AACATCGAG CGCGGGGAGC ATAAAGTGTAA AGACCTGGGG TGCTTAATGA GTGAGCTAAC
*	*
pUK21-A2(421)	TCACTATTAAT TCGCTTGGGG TCAGTCCCGG CTTTCGAGTC GGGAAAACCTG CGTGGCCAGC
pGT	TCACTATTAAT TCGCTTGGGG TCAGTCCCGG CTTTCGAGTC GGGAAAACCTG CGTGGCCAGC
*	*
pUK21-A2(481)	TGCAATTATG ATTCGGCCA CGCCCCGGGA GAGCCGGTTT GGCTATTCGG CGCTCTTCGG
pGT	TGCAATTATG ATTCGGCCA CGCCCCGGGA GAGCCGGTTT GGCTATTCGG CGCTCTTCGG
*	*
pUK21-A2(541)	CTTCTCGCTG CACTGACTCG CTGGCTTCGGG TCCTTCGGCT CGGGCGAGCG GTATCAGCTC
pGT	CTTCTCGCTG CACTGACTCG CTGGCTTCGGG TCCTTCGGCT CGGGCGAGCG GTATCAGCTC
*	*
pUK21-A2(601)	ACTCAAAGG GGTAAATACGG TTATCCACAG ATACAGGGGA TAACCCAGGA AAGAACATGT
pGT	ACTCAAAGG GGTAAATACGG TTATCCACAG ATACAGGGGA TAACCCAGGA AAGAACATGT
*	*
pUK21-A2(661)	GAGCAAAGG CCAGCAAAGG GCAGGAAACCT GTAAAAGGC CGCGTTGCTG CGGTTTTTC
pGT	GAGCAAAGG CCAGCAAAGG GCAGGAAACCT GTAAAAGGC CGCGTTGCTG CGGTTTTTC
*	*
pUK21-A2(721)	ATAGGCTCGG CCCCCCTGAC GAGCATCACA AAAATCGAGC CTCAAGCTAG AGGTGGGAA
pGT	ATAGGCTCGG CCCCCCTGAC GAGCATCACA AAAATCGAGC CTCAAGCTAG AGGTGGGAA
*	*
pUK21-A2(781)	ACCCGACAGG ACTATAAAGA TACCGGGCTT TTCCCCTGG AACCTCCCTC GTGCGCTCTC
pGT	ACCCGACAGG ACTATAAAGA TACCGGGCTT TTCCCCTGG AACCTCCCTC GTGCGCTCTC
*	*
pUK21-A2(841)	CTGTCGCCTG CCTGGCGCTT ACCGGATACC TCTGGCCCTT TCTCCCTTCG GGAAGCGCTGG
pGT	CTGTCGCCTG CCTGGCGCTT ACCGGATACC TCTGGCCCTT TCTCCCTTCG GGAAGCGCTGG
*	*
pUK21-A2(901)	CGCTTCTCTA TAGCTCACGGG TGAGGTGATAC TCAAGTGGT GTAGGTGCTT CGCTCCAAAGC
pGT	CGCTTCTCTA TAGCTCACGGG TGAGGTGATAC TCAAGTGGT GTAGGTGCTT CGCTCCAAAGC
*	*
pUK21-A2(961)	TGGCTGTGT GCACGAAACCC CCCGGTCAGC CGGACCGCTG CGCTTATTCG GTAACTATTC
pGT	TGGCTGTGT GCACGAAACCC CCCGGTCAGC CGGACCGCTG CGCTTATTCG GTAACTATTC
*	*
pUK21-A2(1021)	GTCCTGTAGTC CAACCCGGTA AGACACGACT TATCGCCACT GGCAACAGCC ACTGGTAACA
pGT	GTCCTGTAGTC CAACCCGGTA AGACACGACT TATCGCCACT GGCAACAGCC ACTGGTAACA
*	*
pUK21-A2(1081)	GGATTAACGAG AGCGAGGTAT GTAGGGGTG CTACAGAGTT CTGGAAGTGG TGGCTTAACT
pGT	GGATTAACGAG AGCGAGGTAT GTAGGGGTG CTACAGAGTT CTGGAAGTGG TGGCTTAACT
*	*
pUK21-A2(1141)	ACGGCTACAC TAGAGAACAA GTATTTGGTA TCTGGCTCTA GCTGAAACCCA GTTACCTTCG
pGT	ACGGCTACAC TAGAGAACAA GTATTTGGTA TCTGGCTCTA GCTGAAACCCA GTTACCTTCG
*	*
pUK21-A2(1201)	AAAAAAAGT TGTTGACTCT TGATCCGGCA AACAAACCAAC CGCTGGTAGC GTTACCTTCG
pGT	AAAAAAAGT TGTTGACTCT TGATCCGGCA AACAAACCAAC CGCTGGTAGC GTTACCTTCG
*	*
pUK21-A2(1261)	AAAAAAAGT TGTTGACTCT TGATCCGGCA AACAAACCAAC CGCTGGTAGC GTTACCTTCG
pGT	AAAAAAAGT TGTTGACTCT TGATCCGGCA AACAAACCAAC CGCTGGTAGC GTTACCTTCG
*	*
pUK21-A2(1321)	TTTCTACGGG GTCTGACCTG CAGTGGAAACG AAAACTCAGG TTAAAGGATT TTGGTCATGA
pGT	TTTCTACGGG GTCTGACCTG CAGTGGAAACG AAAACTCAGG TTAAAGGATT TTGGTCATGA
*	*

pUK21-A2(1381)	GCTTGGCGCC	TCCCCTCAAG	TCAGCGTAA	GCTCTGCCAG	TGTTAACACC	AATTAAACCA
PGT	GCTTGGCGCC	TCCCCTCAAG	TCAGCGTAA	GCTCTGCCAG	TGTTAACACC	AATTAAACCA
pUK21-A2(1441)	TTCTGATAG	AAAAGACTCAT	CGAGGCATCAA	ATGAAACTGC	AATTAAATC	TATCAGGATT
PGT	TTCTGATAG	AAAAGACTCAT	CGAGGCATCAA	ATGAAACTGC	AATTAAATC	TATCAGGATT
pUK21-A2(1501)	ATCCTATCCA	TATTTTGAA	AAAGCCGTTT	CTGTAATGAA	GGGAAAAAAT	CACCGAGGCC
PGT	ATCCTATCCA	TATTTTGAA	AAAGCCGTTT	CTGTAATGAA	GGGAAAAAAT	CACCGAGGCC
pUK21-A2(1561)	GTTCCATAGG	ATGCGAAGAT	CTCTGGTATCG	GTCTGGGATT	CCGACTCGTC	CRACATCAAT
PGT	GTTCCATAGG	ATGCGAAGAT	CTCTGGTATCG	GTCTGGGATT	CCGACTCGTC	CRACATCAAT
pUK21-A2(1621)	ACAACTATT	AAITTCCTCT	CGTCAAAAAT	AAAGTTATCA	AGTGAGGAAT	CACCATGAGT
PGT	ACAACTATT	AAITTCCTCT	CGTCAAAAAT	AAAGTTATCA	AGTGAGGAAT	CACCATGAGT
pUK21-A2(1681)	GACCGTAGAA	TCCGGTGAGA	ATGGGAAAG	TTTATGCATT	TCTTTCACAGA	CTTGTCAAC
PGT	GAACCTGAA	TCCGGTGAGA	ATGGGAAAG	TTTATGCATT	TCTTTCACAGA	CTTGTCAAC
pUK21-A2(1741)	AGGCCAGCCA	TTACGCGCTG	CATCAAATC	ACTCGCATCA	ACCAAACCGT	TATTCAATCG
PGT	AGGCCAGCCA	TTACGCGCTG	CATCAAATC	ACTCGCATCA	ACCAAACCGT	TATTCAATCG
pUK21-A2(1801)	TGATGGCGCC	TGAGGGAGAC	GAATACTCCG	ATCGCTGTAA	AAAGGACAAAT	TACAACACGG
PGT	GGATGGCGCC	TGAGGGAGAC	GAATACTCCG	ATCGCTGTAA	AAAGGACAAAT	TACAACACGG
pUK21-A2(1861)	AATCGATATGC	AAACCGGGCA	GGGAACTCTGC	CAGCGCATCA	ACAATATTTC	CACCTGAAATC
PGT	AATCGATATGC	AAACCGGGCA	GGGAACTCTGC	CAGCGCATCA	ACAATATTTC	CACCTGAAATC
pUK21-A2(1921)	AGGATATCT	TCTAATACCT	GGGATGCTGT	TTTCGGGGGG	ATCGCAGTGG	TGAGTAAACCA
PGT	AGGATATCT	TCTAATACCT	GGGATGCTGT	TTTCGGGGGG	ATCGCAGTGG	TGAGTAAACCA
pUK21-A2(1981)	TGCCATCATCA	GGAGTACCGA	TAAAATGCTT	GATGTTGCGGA	AGAGGCATAA	ATTCCGTCAG
PGT	TGCCATCATCA	GGAGTACCGA	TAAAATGCTT	GATGTTGCGGA	AGAGGCATAA	ATTCCGTCAG
pUK21-A2(2041)	CCAGTTTATG	CTGACCATCT	CATCTGTAAC	ATCATTTGGCA	ACGGTACCTT	TGCGATGTTT
PGT	CCAGTTTATG	CTGACCATCT	CATCTGTAAC	ATCATTTGGCA	ACGGTACCTT	TGCGATGTTT
pUK21-A2(2101)	CAGAACACAC	TCTGGCCAT	CGGGCTTC	ATACAAGCGA	TAGATTGTCG	CACCTGTTG
PGT	CAGAACACAC	TCTGGCCAT	CGGGCTTC	ATACAAGCGA	TAGATTGTCG	CACCTGTTG
pUK21-A2(2161)	CCCGACATTA	TGGCGAGGCC	ATTTATACCC	ATATAAAATCA	GCATCATGTT	TGGAATTAA
PGT	CCCGACATTA	TGGCGAGGCC	ATTTATACCC	ATATAAAATCA	GCATCATGTT	TGGAATTAA
pUK21-A2(2221)	TGGGGCCCTC	GAGCTTCCCC	GTTGAATATG	GCTCATAAAC	CCCCCTGTAT	TACTGTTTAT
PGT	TGGGGCCCTC	GAGCTTCCCC	GTTGAATATG	GCTCATAAAC	CCCCCTGTAT	TACTGTTTAT
pUK21-A2(2281)	GTGAGCACAG	AGTTTATATG	TCTCATGATGA	TATTTTTTAA	TCTTGTGCGA	TGTAACATCA
PGT	GTGAGCACAG	AGTTTATATG	TCTCATGATGA	TATTTTTTAA	TCTTGTGCGA	TGTAACATCA
pUK21-A2(2341)	GAGATTTG	GACACAACTG	GGCTTTC	CCCCCCCCCA	TGACATTAC	CTATAAAAT
PGT	GAGATTTG	GACACAACTG	GGCTTTC	CCCCCCCCCA	TGACATTAC	CTATAAAAT
pUK21-A2(2401)	AGGGCTATCC	CGAGGGCCCTT	TGCTCTCGGC	CGTTTCGGGT	ATGACGGGTGA	AAACCTCTGA
PGT	AGGGCTATCC	CGAGGGCCCTT	TGCTCTCGGC	CGTTTCGGGT	ATGACGGGTGA	AAACCTCTGA
pUK21-A2(2461)	CACATGCGAC	TCCCGGAGAC	GGTCACAGCT	TGCTGTAA	CGGATGCCGG	GGACAGCAAA
PGT	CACATGCGAC	TCCCGGAGAC	GGTCACAGCT	TGCTGTAA	CGGATGCCGG	GGACAGCAAA
pUK21-A2(2521)	GCGCGTCAGG	GGCGCTCAGG	GGGTGTTGCG	GGGTGTTGCGG	GCTGGCTTAA	CTATGCGGCA
PGT	GCGCGTCAGG	GGCGCTCAGG	GGGTGTTGCG	GGGTGTTGCGG	GCTGGCTTAA	CTATGCGGCA
pUK21-A2(2581)	TCAGAGCAGA	TGTTACTGAG	AGTGCCACAT	AAAATTGAA	ACGTTAATAT	TTTGTAA
PGT	TCAGAGCAGA	TGTTACTGAG	AGTGCCACAT	AAAATTGAA	ACGTTAATAT	TTTGTAA
pUK21-A2(2641)	TTCCGGTTAA	ATTTTGTAA	ATTCAGCTCA	TTTTTAACC	ATAGACCGA	ATACGGCAAA
PGT	TTCCGGTTAA	ATTTTGTAA	ATTCAGCTCA	TTTTTAACC	ATAGACCGA	ATACGGCAAA
pUK21-A2(2701)	ATCCCTTATA	AAATCAAAGA	ATAGCCCGAG	ATAGAGTTGA	GGCTGTGTTCC	AGTTTGGAAC
PGT	ATCCCTTATA	AAATCAAAGA	ATAGCCCGAG	ATAGAGTTGA	GGCTGTGTTCC	AGTTTGGAAC
pUK21-A2(2761)	AAAGAGTCAC	TATTAAGAGA	CGTGGACTCC	AAAGCTAAAG	GGCGAAAAAAC	CGTCTATCAG
PGT	AAAGAGTCAC	TATTAAGAGA	CGTGGACTCC	AAAGCTAAAG	GGCGAAAAAAC	CGTCTATCAG

pUK21-A2(2821)	GGCGATGCCC	CACCCGATT	TAGAGCTTGA	CGGGGAAAGC	CGGCACACGT	GCGGAGAAAG
PGT	GGCGATGCC	CACCCGATT	TAGAGCTTGA	CGGGGAAAGC	CGGCACACGT	GCGGAGAAAG
pUK21-A2(2881)	GAAGGGAAAGA	AAGCGAAAGG	AGCGGGCCT	AAAGGCCTTG	CAAGTGTAGC	GGTCACGCTG
PGT	GAAGGGAAAGA	AACCGAAAGG	AGCGGGCCT	AAAGGCCTTG	CAAGTGTAGC	GGTCACGCTG
pUK21-A2(2941)	CGCGTAACCA	CCACACCCGC	CGCGCTTAAAT	CGCGCCCTAC	AGGGCGCGTA	CTATGGTTGC
PGT	CGCGTAACCA	CCACACCCGC	CGCGCTTAAAT	CGCGCCCTAC	AGGGCGCGTA	CTATGGTTGC
pUK21-A2(3001)	TTTGCACCTAT	CGCGTGTGAA	ATACCGACAA	GATGCCCTAAG	GAGAAAATAC	CGCATCAGGC
PGT	TTTGCACCTAT	CGCGTGTGAA	ATACCGACAA	GATGCCCTAAG	GAGAAAATAC	CGCATCAGGC
pUK21-A2(3061)	GGCAATTGCC	ATTCAAGGCTG	CGCAACTGTT	GGGAAGGGCGG	ATCGGTGCGG	GGCTCTTCGC
PGT	GGCAATTGCC	ATTCAAGGCTG	CGCAACTGTT	GGGAAGGGCGG	ATCGGTGCGG	GGCTCTTCGC
pUK21-A2(3121)	TATTAACGCCA	GCTGGCCAAA	GGGGGATCTG	CTGCAAGCGG	ATTAAGTTGG	GTACGGCCAG
PGT	TATTAACGCCA	GCTGGCCAAA	GGGGGATCTG	CTGCAAGCGG	ATTAAGTTGG	GTACGGCCAG
pUK21-A2(3181)	GTTTTTCCCA	GTCAAGGCGT	TGTAACAAACGA	GGGCCAGTGA	ATTTGTAATAC	GACTCAGTAT
PGT	GTTTTTCCCA	GTCAAGGCGT	TGTAACAAACGA	GGGCCAGTGA	ATTTGTAATAC	GACTCAGTAT
pUK21-A2(3241)	AGGGCGGATT	GGGGATCGAT	CCACTAGTTC	TAGATCCGAT	GTACGGCCCA	GATATAACCG
PGT	AGGGCGGATT	GGGGATCGAT	CCACTAGTTC	TAGATCCGAT	GTACGGCCCA	GATATAACCG
pUK21-A2(3301)	TTGACATGTA	TTATTGACTA	GTATTATAAT	GTAATCAATT	ACGGGGTCTAT	TAGTTCATAG
PGT	TTGACATGTA	TTATTGACTA	GTATTATAAT	GTAATCAATT	ACGGGGTCTAT	TAGTTCATAG
pUK21-A2(3361)	TTGACATGTA	TTATTGACTA	GTATTATAAT	GTAATCAATT	ACGGGGTCTAT	TAGTTCATAG
PGT	TTGACATGTA	TTATTGACTA	GTATTATAAT	GTAATCAATT	ACGGGGTCTAT	TAGTTCATAG
pUK21-A2(3421)	CAACGACCCC	CGGCCATTG	CGTCAATTAAAT	GGCGTATGTT	CCCATAGTAA	GGCCAAATAGG
PGT	CAACGACCCC	CGGCCATTG	CGTCAATTAAAT	GGCGTATGTT	CCCATAGTAA	GGCCAAATAGG
pUK21-A2(3481)	GACTTTCCAT	TGACGTCAT	GGGTGGAGTA	TTTACCGTAA	ACTGCCACT	TGGCAAGTACA
PGT	GACTTTCCAT	TGACGTCAT	GGGTGGAGTA	TTTACCGTAA	ACTGCCACT	TGGCAAGTACA
pUK21-A2(3541)	TCAAGTGTAT	CATATGCCAA	GTACGCC	TATTGRCGTC	AATGACCGTA	AATGGCCCGC
PGT	TCAAGTGTAT	CATATGCCAA	GTACGCC	TATTGRCGTC	AATGACCGTA	AATGGCCCGC
pUK21-A2(3601)	CTGGCATTAT	GCCCCATACA	TGACCTTATG	GGACTTTCTC	ACTTGGCACT	ACATCTAGT
PGT	CTGGCATTAT	GCCCCATACA	TGACCTTATG	GGACTTTCTC	ACTTGGCACT	ACATCTAGT
pUK21-A2(3661)	ATTAGTCATC	GCTATTACCA	TGGTGTATGCC	TTTTTGGCAG	TACATCAATG	GGCGTGGATA
PGT	ATTAGTCATC	GCTATTACCA	TGGTGTATGCC	TTTTTGGCAG	TACATCAATG	GGCGTGGATA
pUK21-A2(3721)	GGGGTTTGAC	TCACGGGGAT	TTCCAAGTCT	CCACCCCCAT	GACGTCAATG	GGAGTTTGT
PGT	GGGGTTTGAC	TCACGGGGAT	TTCCAAGTCT	CCACCCCCAT	GACGTCAATG	GGAGTTTGT
pUK21-A2(3781)	TTGGCACCAA	AATCAACGGG	ACTTTCAAA	ATGTCGTAAC	AACTCGCC	CATTGACGCC
PGT	TTGGCACCAA	AATCAACGGG	ACTTTCAAA	ATGTCGTAAC	AACTCGCC	CATTGACGCC
pUK21-A2(3841)	AATGGGGGT	AGGCCGTGAC	GGTGGAGGT	CTATATAAGC	AGAGCTCTCT	GGCTAACTAG
PGT	AATGGGGGT	AGGCCGTGAC	GGTGGAGGT	CTATATAAGC	AGAGCTCTCT	GGCTAACTAG
pUK21-A2(3901)	AGAACCCACT	GCTTACTGCG	TTATGCAAAAT	TGCGGCCGCC	ACGGCGTAT	CGGATCCATA
PGT	AGAACCCACT	GCTTACTGCG	TTATGCAAAAT	TGCGGCCGCC	ACGGCGTAT	CGGATCCATA
pUK21-A2(3961)	TGACGTGAC	GCGCTCTGCAG	AAGCTTC	-----	-----	-----
PGT	TGACGTGAC	GCGCTCTGCAG	AAGCTTC	-----	-----	-----

Please re-write Table 6, beginning on page 64, line 1, as follows:

Table 6 ODN used with plasmid DNA

Backbone	ODN code number	Sequence
S-ODN	1826	TCCATGAC <u>G</u> TTCCCTGAC <u>G</u> TT (SEQ ID NO:51)
	1628	GGGGTCAAC <u>G</u> TTGAGGGGGG (SEQ ID NO:52)
	1911	TCCAGGACT <u>G</u> TTCCCTCAGGTT (SEQ ID NO:53)
	1982	TCCAGGACT <u>G</u> TTCTCAGGTT (SEQ ID NO:54)
	2017	CCCCCCCCCCCCCCCCCCCC (SEQ ID NO:55)
O-ODN	2061	TCCATGAC <u>G</u> TTCCCTGAC <u>G</u> TT (SEQ ID NO:56)
	2001	GG <u>C</u> GG <u>C</u> GG <u>C</u> GG <u>C</u> GG <u>C</u> GG (SEQ ID NO:57)
SOS-ODN	1980	TCCATGAC <u>G</u> TTCCCTGAC <u>G</u> TT (SEQ ID NO:58)
	1585	GGGGTCAAC <u>G</u> TTGAGGGGGG (SEQ ID NO:59)
	1844	TCTCCCAG <u>C</u> GTCGCCATAT (SEQ ID NO:60)
	1972	GGGGTCTGTGCTTTGGGGGG (SEQ ID NO:61)
	2042	TCAGGGGTGGGGGGAACCTT (SEQ ID NO:62)
	1981	GGGGTTGAC <u>G</u> TTTGAGGGGG (SEQ ID NO:63)
	2018	TCTAGCGTTTTAG <u>C</u> GTTC (SEQ ID NO:64)
	2021	<u>T</u> CGTC <u>G</u> TTGT <u>C</u> GGT <u>G</u> TT (SEQ ID NO:65)
	2022	TC <u>G</u> TC <u>G</u> TTTT <u>T</u> CG <u>G</u> TTTG <u>T</u> CG <u>G</u> TT (SEQ ID NO:66)
	2023	<u>T</u> CGTC <u>G</u> TTGT <u>C</u> GGT <u>G</u> TT(SEQ ID NO:67)

SOS-ODN had two S-linkages at the 5' end, five S-linkages at the 3' end, and O-linkages in between.

Three ODN used in this study were of the same murine-specific immunostimulatory sequence in three different backbones (1826, 2061 and 1980).

All ODN were synthesized by Hybridon (Milford, MA) or Operon (Alameda, CA). ODN were ethanol precipitated and resuspended in saline prior to use alone or as an additive to the plasmid DNA solution.

Please re-write Table 10 beginning on page 68, line 1, as follows:

Table 10

Inhibitory CpG motifs can block B cell proliferation induced by a stimulatory CpG motif

Oligonucleotide added	cpm
medium	194
1668 (TCCATGACGTTCTGATGCT) (SEQ ID NO:68)	34,669
1668 + 1735 (GCGTTTTTTTGCG) (SEQ ID NO:69)	24,452
1720 (TCCATGAGCTTCCTGATGCT) (SEQ ID NO:70)	601
1720 + 1735	1109

Splenic B cells from a DBA/2 mouse were cultured at 5×10^4 cells/100 μ l well in 96 well microtiter plates in RPMI as previously described (Krieg, *et al.*, 1995) with or without the indicated phosphorothioate modified oligonucleotides at a concentration of 60 ng/ml for 48 hr. The cells were then pulsed with 3 H thymidine, harvested, and the cpm determined by scintillation counting. The stimulatory CpG oligo 1668 was slightly but significantly inhibited by the inhibitory motifs in oligo 1735. The non CpG oligo 1720 is included as a negative control.

Please re-write Table 11, beginning on page 69, line 1, as follows:

Table 11

Inhibitory effects of “bad” CpG motifs on the “good” CpG Oligo 1619

Notes:

The sequence of oligo 1619 is TCCATGTCCGTTCCTGATGCT (SEQ ID NO:71)
1949 has only 1 GCG at the 3' end, which has essentially no inhibitory activity

Oligonucleotide added	IL-12 in pg/ml
medium	0
1619 alone	6
1619 + 1949 (TCCATGTC <u>CGTT</u> CCTGATGCG) (SEQ ID NO:72)	16
1619 + 1952 (TCCATGTC <u>CGTT</u> CCGCGCGC) (SEQ ID NO:73)	0
1619 + 1953 (TCCATGTC <u>CGTT</u> CCTGCCGCT) (SEQ ID NO:74)	0
1619 + 1955 (GCGGC <u>GGGCGGGCGCGCGCCC</u>) (SEQ ID NO:75)	0

Human PBMC were cultured in 96 well microtiter plates at 10^5 /200 μ l for 24 hr in RPMI containing 10% autologous serum. Supernatants were collected at the end of the culture and tested for IL-12 by ELISA. All wells except the control (medium) contained 60 μ g/ml of the stimulatory CpG oligodeoxynucleotide 1619; stimulatory (1949) and inhibitory (all other sequences have a strong inhibitory motif) oligos were added to the indicated wells at the same concentration at the beginning of culture. All oligos have unmodified backbones.

Please re-write Table 13 beginning on page 71, line 1, as follows:

Table 13 Identification of neutralizing CpG motifs which reduce the induction of cytokine secretion by a CpG-S motif in the same ODN (*cis*-neutralization)

ODN	sequence 5'-3' ¹	ODN-induced cytokine expression ²		
		IL-6 ²	IL-12	IFN- γ
None		<5	206	898
1619	TCCATGTCGTTCTGTATGCT ³ (SEQ ID NO:71)	1405	3130	4628
1952 <u>CC</u>GCGCGC (SEQ ID NO:73)	559	1615	2135
1953 <u>CC</u> <u>CC</u> (SEQ ID NO:74)	577	1854	2000

¹Dots in the sequence of ODN 1952 and 1953 indicate identity to ODN 1619; CpG dinucleotides are underlined for clarity. ODN without CpG-N or CpG-S motifs had little or no effect on cytokine production. The data shown are representative of 4 experiments.

²All cytokines are given in pg/ml; measured by ELISA on supernatants from DBA/2 spleen cells cultured in 96 well plates at 2×10^7 cells/ml for 24 hr with the indicated ODN at 30 μ g/ml. Std. dev. of the triplicate wells was <7%. None of the ODN induced significant amounts of IL-5

Please re-write Table 14 beginning on page 72, line 1, as follows:

Table 14 Inhibition of CpG-induced cytokine secretion by ODN containing CpG-N motifs

ODN	sequence 5'-3'	IL-12 secretion ¹	CpG-S-induced IL-12 secretion ²
none		268	5453
1895	GCGCGCGAGGCGCGCGCGC (SEQ ID NO:76)	123	2719
1896	CCGGCG <u>GGGGCGGGCGGGGG</u> (SEQ ID NO:77)	292	2740
1955	GCGGC <u>GGGCGGGCGGGCCC</u> (SEQ ID NO:75)	270	2539
2037	TCCATGCGTTCCTGCCGT (SEQ ID NO:78)	423	2847

¹BALB/c spleen cells were cultured in 96 well plates at 2 X 10⁷ cells/ml with the indicated ODN for 24 hr and then the supernatants were assayed for IL-12 by ELISA (pg/ml).

²Cells were set up the same as in ¹ except that IL-12 secretion was induced by the addition of the CpG ODN 1619 (TCCATGGTTCCTGATGCT) (SEQ ID NO: 71) at 30 µg/ml. The data shown are representative of 5 experiments.

In the Claims

Please cancel claims 1-58.

Claims 59-108 are currently pending.

RemarksClaims:

In the parent application, claims 1-58, as filed, were elected in response to a Restriction Requirement dated October 26, 1999. Accordingly, claims 1-58, having been already prosecuted in the parent application, are cancelled herewith. Currently pending claims 59-108 were deemed to be one invention according to the Restriction Requirement in the parent case.

Specification:

Applicants herewith introduce amendments made to the specification during the prosecution of the parent case.

Some of the foregoing amendments merely embody the correction of figure descriptions in order to make the specification consistent with the format of the formal drawings filed herewith.

Tables 1, 2, 3, 5, 6, 10, 11, 13 and 14, as well as other sections of the specification, were amended in order to introduce SEQ ID NO: for each nucleic acid sequence.

Tables 2, 3, 4, 5, and 11 were replaced, in part, to improve clarity and correct a few minor typographical errors without introduction of new matter.

Table 2 was replaced, in part, to correct the title. Support for this amendment can be found in the footnote.

Table 3 was replaced, in part, to correct the heading for column 3 by substituting “No CpG Motifs” with “No. CpG motifs”. In addition, the singly underlined CG dinucleotides in footnotes 2 and 3 were replaced with doubly underlined CG dinucleotides so that all underlining is double.

Table 4 was replaced to change nomenclature as follows: In column 1, “pHIS20-S(ad)” was replaced with --pHIS40-S(ad)--; “pHIS36-S(ad)” was replaced with --pHIS64-S(ad)--; “pHIS72-S(ad)” was replaced with --pHIS128-S(ad)--; and “pHIS108-S(ad)” was replaced with --pHIS192-S(ad)--. In column 2, “pHIS-20” was replaced with --pHIS-40--; “pHIS-36” was replaced with --pHIS-64--; “pHIS-72” was replaced with --pHIS-128--; and

"pHIS-108" was replaced with --pHIS-192--. These corrections in nomenclature are supported at page 40, lines 10-23, as well as in Table 3.

Table 5 was replaced, in part, for clarity. The replacement Table is in a larger font for clarity, which necessitates the addition of a third page to accommodate the entire table.

Table 11 was replaced, in part, to insert "CpG" in the title between "good" and "Oligo 1619". There was no change in any of the sequence information in the table.

Table 14 was replaced, in part, to correct a nucleic acid sequence in footnote 2. Specifically, the sequence of ODN 1619 was incorrectly listed. Support for the correct sequence of ODN 1619 and this amendment can be found in Tables 11 and 13.

No new matter has been added by the foregoing amendments. If the Examiner has any questions or comments, he/she is respectfully requested to contact Applicants' representative at the number listed below.

Respectfully submitted,



Maria A. Trevisan, Reg. No. 48,207
Registration No. 48,207
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500

Docket No. C1039/7057
September 26, 2001
xndd

APPENDIX A

MARKED-UP SPECIFICATION

Please amend the specification as follows:

Please insert on page 1, line 3, after the title of the invention and prior to the section entitled Technical Field the following text:

Related Applications

This application is a divisional of U.S. non-provisional patent application serial no. 09/082,649, filed May 20, 1998, now allowed, which claims priority to U.S. provisional patent application serial no. 60/047,209, filed May 20, 1998 and U.S. provisional patent application serial no. 60/047,233, filed May 20, 1997.

Please note that the underlining of sequences in the proceeding marked-up specification does not indicate a change to the text, but rather reflects underlining of such sequences as present in the originally filed specification. Accordingly, no changes to sequences have been introduced by this amendment. In order to facilitate the identification of amendments to the specification, such amendments have also been highlighted as well as underlined or bracketed.

Please re-write the paragraph starting on page 5, line 13, as follows:

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A and 1B [1 is a] are schematic diagrams of the construction of pUK21-A1.

Figures 2A and 2B [2 is a] are schematic diagrams of the construction of pUK21-A2.

Figures 3A and 3B [3 is a] are schematic diagrams of the construction of pUK21-A.

Figures 4A and 4B [4 is a] are schematic diagrams of the construction of pMAS.

Please re-write the paragraph beginning on page 6, line 1, as follows:

Figure 6: Synthetic ODN cannot be mixed with DNA vaccine due to interference with expression from plasmid. The figure shows the effect of adding S-ODN to plasmid DNA expressing reporter gene or antigen. ODN 1826 (10 or 100 µg) was added to DNA constructs (10 µg) encoding hepatitis B surface antigen (HBsAg) (pCMV-S, [Figure 6A] top panel) or luciferase (pCMV-luc, [Figure 6B] bottom panel) DNA prior to intramuscular (IM) injection into mice. There was an ODN dose-dependent reduction in the induction of antibodies against HBsAg (anti-HBs, end-point dilution titers at 4 wk) by the pCMV-S DNA ([Figure 6A] top panel) and in the amount of luciferase expressed in relative light units per sec per mg protein

(RLU/sec/mg protein at 3 days) from the pCMV-luc DNA ([Figure 6B] bottom panel). This suggests that the lower humoral response with DNA vaccine plus ODN was due to decreased antigen expression. Each bar represents the mean of values derived from 10 animals ([Figure 6A] top panel) or 10 muscles ([Figure 6B] bottom panel) and [s] vertical lines represent the SEM. Numbers [superimposed on] below the bars indicate proportion of animals responding to the DNA vaccine ([Figure 6A] top panel); all muscles injected with pCMV-luc expressed luciferase ([Figure 6B] bottom panel).

Please re-write the paragraph beginning on page 6, line 13, as follows:

Figure 7: Interference of ODN with pDNA due to backbone and sequence. The figure shows the interference of ODN with plasmid DNA depends on backbone and sequence. Luciferase activity (RLU/sec/mg protein) in mouse muscles 3 days after they were injected with 10 µg pCMV-luc DNA to which had been added no ODN (none = white bar) or 100 µg of an ODN, which had one of three backbones: phosphorothioate (S = [black] left slanted bars: 1628, 1826, 1911, 1982, 2001 and 2017), phosphodiester (O = [pale grey] thick left slanted bar: 2061), or a phosphorothioate-phosphodiester chimera (SOS = [dark grey] right slanted bars: 1585, 1844, 1972, 1980, 1981, 2018, 2021, 2022, 2023 and 2042). Three S-ODN (1911, 1982 and 2017) and two SOS-ODN (1972 and 2042) did not contain any immunostimulatory CpG motifs. One S-ODN (1628) and three SOS-ODN (1585, 1972, 1981) had poly-G ends and one SOS-ODN (2042) had a poly-G center. The (*) indicates ODN of identical sequence but different backbone: 1826 (S-ODN), 1980 (SOS-ODN) and 2061 (O-ODN). All S-ODN (both CpG and non-CpG) resulted in decreased luciferase activity whereas SOS-ODN did not unless they had poly-G sequences.

Please re-write the paragraph beginning on page 6, line 25, as follows:

Figure 8: Temporal and spatial separation of CpG ODN and plasmid DNA. The figure shows the effect of temporal or spatial separation of plasmid DNA and S-ODN on gene expression. Luciferase activity (RLU/sec/mg protein) in mouse muscles 3 or 14 days after they were injected with 10 µg pCMV-luc DNA. Some animals also received 10 µg CpG-S ODN which was mixed with the DNA vaccine or was given at the same time but at a different site, or was given 4 days prior to or 7 days after the DNA vaccine. Only when the ODN was mixed directly with the DNA vaccine did it interfere with gene expression.

Please re-write the paragraph beginning on page 7, line 6, as follows:

Figure 9: Immunization of BALB/c mice with CpG-optimized DNA vaccines. The figure shows the enhancement of *in vivo* immune effects with optimized DNA vaccines. Mice were injected with 10 µg of pUK-S [(black bars)], pMAS-S [(white bars)], pMCG16-S [(pale grey bars)] or pMCG50-S [(dark grey bars)] plasmid DNA bilaterally (50 µl at 0.1 mg/ml in saline) into the TA muscle. [Figure 9A] The top panel shows the anti-HBs antibody response at 6 weeks (detected as described in methods). Bars represent the group means (n=5) for ELISA end-point dilution titers (performed in triplicate), and vertical lines represent the standard errors of the mean. The numbers on the bars indicate the ratio of IgG2a:IgG1 antibodies at 4 weeks, as determined in separate assays (also in triplicate) using pooled plasma. [Figure 9B] The bottom panel shows the cytotoxic T lymphocyte activity in specifically restimulated (5 d) splenocytes taken from mice 8 wk after DNA immunization. Bars represent the group means (n=3) for % specific lysis (performed in triplicate) at an effector:target (E:T) ratio of 10:1, dots represent the individual values. Non-specific lytic activity determined with non-antigen-presenting target cells, which never exceeds 10%, has been subtracted from values with HBsAg-expressing target cells to obtain % specific lysis values.

Please re-write the paragraph beginning on page 7, line 19, as follows:

Figure 10 shows induction of a Th2-like response by a CpG-N motif and inhibition of the Th1-like response induced by a CpG-S motif. Anti-HBs antibody titers (IgG1 and IgG2a subclasses) in BALB/c mice 12 weeks after IM immunization with recombinant HBsAg, which was given alone (none) or with 10 µg stimulatory ODN (1826), 10 µg of neutralizing ODN (1631, CGCGCGCGCGCGCGCG (SEQ ID NO:22) 1984, TCCATGCCGTTCTGCCGTT (SEQ ID NO:78); or 2010 GCGGCGGGCGGCGCGCGCCC (SEQ ID NO:75); CpG dinucleotides are underlined for clarity) or with 10 µg stimulatory ODN + 10 µg neutralizing ODN. To improve nuclease resistance for these *in vivo* experiments, all ODN were phosphorothioate-modified. Each bar represents the group mean (n=10 for none; n=15 for #1826 and n=5 for all other groups) for anti-HBs antibody titers as determined by end-point dilution ELISA assay. [Black] Hatched portions of bars indicate antibodies of IgG1 subclass (Th2-like) and [grey] white portions indicate IgG2a subclass (Th1-like). The numbers above each bar indicate the IgG2a/IgG1 ratio where a ratio >1 [than] indicates a predominantly Th1-like response and a ratio <1 indicates a predominantly Th2-like response (a value of 0 indicates a complete absence of IgG2a antibodies).

Please re-write paragraph beginning on page 8, line 5, as follows:

Figure 11 shows enhancement of *in vivo* immune effects with optimized DNA vaccines. Mice were injected with 10 µg of pUK-S ([black] white bars), pMAS-S ([white] right slanted bars), pMCG16-S ([pale grey] thin right slanted bars) or pMCG50-S ([dark grey] left slanted bars) plasmid DNA bilaterally (50 µl at 0. 1 mg/ml in saline) into the TA muscle. Panel A: The anti-HBs antibody response at 6 weeks (detected as described in methods). Bars represent the group means (n=5) for ELISA end-point dilution titers (performed in triplicate), and vertical lines represent the standard errors of the mean. The numbers on the bars indicate the ratio of IgG2a:IgG1 antibodies at 4 weeks, as determined in separate assays (also in triplicate) using pooled plasma. Panel B: Cytotoxic T lymphocyte activity in specifically restimulated (5 d) splenocytes taken from mice 8 wk after DNA immunization. Bars represent the group means (n=3) for % specific lysis (performed in triplicate) at an effector: target (E:T) ratio of 10:1, dots represent the individual values. Non-specific lytic activity determined with non-antigen-presenting target cells, which never exceeds 10%, has been subtracted from values with HBsAg-expressing target cells to obtain % specific lysis values.

Please re-write the paragraph beginning on page 35, line 8, as follows:

(i) Insertion of the CMV (human cytomegalovirus) major intermediate early promoter/enhancer region

The CMV promoter (from pcDNA3 position 209 to 863) was amplified by PCR using 30 ng pcDNA3 as a template. The forward PCR primer 5'CGT GGA TAT CCG ATG TAC GGG CCA GAT AT 3'(SEQ ID NO:4) introduced an EcoRV site, and the reverse PCR primer 5' AGT CGC GTC CGC AAT TTC GAT AAG CCA GTA AG 3'(SEQ ID NO:5) introduced a *Nos*I site. After digestion with EcoRV and *Nos*I, a 0.7 kb PCR fragment containing the CMV promoter was purified and inserted into the pUK21 polylinker between XbaI and *Nos*I sites. The *Xba*I sticky end of pUK21 was filled in with the large fragment of T4 DNA polymerase after digestion to create a blunt end. The inserted CMV promoter was confirmed by sequencing. The resulting plasmid was pUK21-A1 (Figures 1A and 1B).

Please re-write the paragraph beginning on page 35, line 19, as follows:

(ii) Insertion of the BGH polyA (bovine growth hormone polyadenylation signal)

BGH polyA (from pcDNA3 position 1018 to 1249) was amplified by PCR using pcDNA3 as template. The forward PCR primer 5' ATT CTC GAG TCT AGA CTA GAG CTC GCT

GAT CAG CC 3' (SEQ ID NO:6) introduced *Xba*I and *Xba*I sites, and the reverse PCR primer 5' ATT AGG CCT TCC CCA GCA TGC CTG CTA TT 3' (SEQ ID NO:7) introduced a *Stu*I site. After digestion with *Xba*I and *Stu*I, the 0.2 kb PCR fragment containing the BGH polyA was purified, and ligated with the 3.7 kb *Xba*I-*Stu*I fragment of pUK21-A1. The inserted BGH polyA was confirmed by sequencing. The resulting plasmid was pUK21-A2 (Figures 2A and 2B).

Please re-write the paragraph beginning on page 36, line 24, as follows:

(i) Insertion of the fl origin of replication region

The fl origin and two unique restriction enzyme sites (*Dra*I and *Apa*I) were introduced into pUK21-A2 for later vector construction. fl origin (from pcDNA3 position 1313 to 1729) was amplified by PCR using pcDNA3 as template. The forward PCR primer 5' TAT AGG CCC TAT TTT AAA CGC GCC CTG TAG CGG CGC A 3' (SEQ ID NO:8) introduced *Eco*O109I and *Dra*I sites, and the reverse PCR primer 5' CTA TGG CGC CTT GGG CCC AAT TTT TGT TAA ATC AGC TC 3' (SEQ ID NO:9) introduced *Nar*I and *Apa*I site. After digestion with *Nar*I and *Eco*O109I, the 0.4 kb PCR fragment containing the fl origin was purified and ligated with the 3.3 kb *Nar*I-*Eco*O109I fragment of pUK21-A2, resulting in pUK21-A (Figures 3A and 3B).

Please re-write the paragraph beginning on page 38, line 22, as follows:

(ii) Replacement of the fl origin with unique restriction enzyme sites

Oligonucleotides 5' AAA TTC GAA AGT ACT GGA CCT GTT AAC A 3' (SEQ ID NO:10) and its complementary strand 5' CGT GTT AAC AGG TCC AGT ACT TTC GAA TTT 3' (SEQ ID NO:11) were synthesized, and 5'-phosphorylated. Annealing of these two phosphorylated oligos resulted in 28 base pair double-stranded DNA containing three unique restriction enzyme sites (*Scal*, *Avai*I, *Hpa*I), one sticky end and one blunt end. Replacing the 0.4 kb *Nar*I-*Dra*I fragment of pUK21-B with this double-stranded DNA fragment resulted in the universal vector pMAS for DNA vaccine development (Figures 4A and 4B and 5).

Please re-write the paragraph beginning on page 44, line 11, as follows:

In contrast to the success with protein antigens, attempts to augment immune responses induced by a HBsAg-expressing DNA vaccine by the addition of CpG-S ODN 1826 failed. Surprisingly, the immune responses decreased with the addition of CpG-S ODN in a dose-dependent manner (Figure 6[a], top panel). Addition of ODN #1826 to a luciferase reporter

gene construct (pCMV-luc, Davis *et al.*, 1993b) resulted in a dose-dependent decrease in luciferase expression (Figure 6[b], bottom panel). This indicates that the negative effects of the CpG-S ODN on the DNA vaccine were due to reduced gene expression rather than an effect on the immune response against the gene product.

Please re-write the paragraph beginning on page 48, line 15, as follows:

Next, different numbers of CpG-S motifs were inserted into the vector by allowing self-ligation of a 20bp DNA fragment with the sequence 5' GACTCCATGACGTTCCTGACGTTCATGACGTTCCTGACGTTG 3'(SEQ ID NO:[22] 12) with a complementary strand and inserting different numbers of copies into the *AvalI* site of pMAS. Recombinant clones were screened and the two vectors were chosen for further testing with 16 and 50 CpG-S motifs, and named pMCG16 and pMCG50 respectively.

Please re-write the paragraph beginning on page 51, line 16, as follows:

When tested for their ability to induce cytokine (IL-6 and IL-12) secretion from cultured spleen cells, we found that the pMAS-S, pMCG16-S and pMCG50-S vectors had significantly enhanced immune stimulatory activity compared to pUK-S. When used as a DNA vaccine, the anti-HBs response at 4 and 6 weeks was substantially stronger with DNA vaccines from which CpG-N motifs had been deleted, and even more so when 16 CpG-S motifs had been inserted. The vector with 50 CpG-S motifs, however, was less effective at inducing antibody production than that with 16 motifs. (Figure 11, panel A). Removal of CpG-N motifs and addition of CpG-S motifs resulted in a more than three-fold increase in the proportion of IgG2a relative to IgG1 anti-HBs antibodies, indicating an enhanced Th1 response. This accentuated Th1 response also was demonstrated by the striking progressive increases in CTL responses induced by vectors from which CpG-N motifs were deleted and/or CpG-S motifs added (Figure 11, panel B).

Please re-write the paragraph beginning on page 53, line 20, as follows:

Based on our *in vitro* experiments we hypothesized that the presence of CpG-N motifs in DNA vaccines interferes with the induction of the desired immune response. Indeed, the present study demonstrates that elimination of CpG-N motifs from a DNA vaccine leads to improved induction of antibodies. By removing 52 of the CpG-N motifs from a DNA vaccine (45 were deleted and 7 turned into CpG-S motifs) the serologic response was more than doubled; by then adding an additional 16 CpG-S motifs, the response was enhanced

nearly 10 fold (Figure 11, panel A). Likewise, CTL responses were improved by removing CpG-N motifs and even more so by adding 16 or 50 CpG-S motifs (Figure 11, panel B).

These increased responses are especially notable in view of the fact that the total number of CpG dinucleotides in the mutated vaccines is considerably below the original number.

Please re-write the paragraph beginning on page 54, line 2, as follows:

The finding that the vector with 50 CpG-S motifs was inferior to that with 16 motifs for induction of humoral immunity was unexpected, and may be secondary to CpG-induced production of type I interferons, and subsequent reduction in the amount of antigen expressed. The decreased antibody response induced by pMCG50-S seems unlikely to be explained by vector instability since this vector gave the best CTL responses (Figure 11, panel B). Although the pMCG50-S vector was slightly larger than pMCG16-S, the 10 µg dose still contained 93% as many plasmid copies as it did pMCG16-S, so lower copy number is unlikely to account for the reduced antibody levels. The current generation of DNA vaccines are quite effective in mice, but much less effective in primates (Davis, H.L., *et al.*, *Proc. Natl. Acad. Sci. USA*, 93:7213-7218 (1996); Letvin, N.L., *et al.*, *Proc. Natl. Acad. Sci. USA*, 94:9378-9383 (1997); Fuller, D.H., *et al.*, *J Med. Primatol.*, 25:236-241 (1996); Lu, S., *et al.*, *J. Virol.*, 70:3978-3991 (1996); Liu, M.A., *et al.*, *Vaccine*, 15:909-919 (1997); Prince, A.M., *et al.*, *Vaccine*, 15:9196-919 (1997); Gramzinski, R.A., *et al.*, *Molec. Med.*, 4:109-119 (1998)). Our present results indicate that attaining the full clinical potential of DNA vaccines will require using engineered vectors in which CpG-N motifs have been deleted, and CpG-S motifs added.

Please re-write Table 1, beginning on page 56, line 22, as follows:

Table 1.

Primers used for site-directed mutagenesis.

Mutated nucleotides are underlined. Restriction enzyme sites for cloning, are indicated in bold.

Forward primers:

Mu-0F	5' GTCTCTAGACAGCCACTGGTAACAGGATT 3' (845) (SEQ ID NO:23)
Mu-1F	(1144) 5' <u>TCGTTGTCGTC</u> CAAGTCAGCGTAATGC 3' (1172) (SEQ ID NO:24)
Mu-2F	(1285) 5' <u>TCTTCTGT</u> ATGAAGGG 3' (1304) (SEQ ID NO:25)
Mu-3F	(1315) 5' <u>AAGG</u> CAGTCCATAGGATGG 3' (1334) (SEQ ID NO:26)
Mu-(4+5)F	(1348) 5' TCG <u>A</u> TCTGCGATTC <u>A</u> CTCGTCCAACATCAACAT 3' (1382) (SEQ ID NO:27)
Mu-6F	(1453) 5' TGGTGAGAATGGCAAAAGT 3' (1472) (SEQ ID NO:28)
Mu-7F	(1548) 5' CATTATTCTTCGTGATTGCG 3' (1568) (SEQ ID NO:29)
Mu-8F	(1633) 5' <u>ACG</u> T <u>C</u> AGGAACACTGCCAGCGC 3' (1656) (SEQ ID NO:30)
Mu-9F	(1717) 5' <u>AGGG</u> ATCGCAGTGGTGAGTA 3' (1736) (SEQ ID NO:31)
Mu-10F	(1759) 5' TATAAA <u>ATGCTTGATGGTCGG</u> 3' (1779) (SEQ ID NO:32)
Mu-(11+12)F	(1777) 5' <u>G</u> GGAA <u>GAGGC</u> TAA <u>ATTC</u> T <u>GTC</u> AGGCC <u>AGTTAGTC</u> 3' (1811) (SEQ ID NO:33)
Mu-13F	(1882) 5' <u>TGG</u> CTTCCC <u>CATA</u> CAAGCGAT 3' (1901) (SEQ ID NO:34)
Mu-14F	(1924) 5' <u>TAC</u> ATTATCG <u>C</u> GAG <u>CCC</u> CATT 3' (1943) (SEQ ID NO:35)
Mu-15F	(1984) 5' <u>TGG</u> CTC <u>GACG</u> T <u>TTCCC</u> GT 3' (2002) (SEQ ID NO:36)

Reverse primers:

Mu-0R	5' ATCGA <u>ATT</u> CAGGG <u>CC</u> <u>T</u> CGT <u>G</u> A <u>T</u> CGC <u>CT</u> A 3' (2160) (SEQ ID NO:37)
Mu-1R	(1163) 5' TGACTT <u>GACG</u> <u>ACA</u> <u>ACG</u> <u>CA</u> <u>GCT</u> CAT <u>GAC</u> AAA <u>ATCCC</u> 3' (1125) (SEQ ID NO:38)
Mu-2R	(1304) 5' CTC <u>CTT</u> CATTACAGAA <u>ACG</u> <u>A</u> <u>CTT</u> TT <u>CAAA</u> AT <u>ATGG</u> TA 3' (1266) (SEQ ID NO:39)
Mu-3R	(1334) 5' CCAT <u>CCT</u> T <u>ATGG</u> A <u>ACTG</u> C <u>CTT</u> GG <u>G</u> A <u>GTTT</u> C <u>CTC</u> CTTC 3' (1298) (SEQ ID NO:40)
Mu-(4+5)R	(1367) 5' GAGT <u>T</u> <u>GGA</u> AT <u>CGC</u> <u>CAG</u> <u>A</u> <u>TCG</u> A <u>TC</u> ACC <u>AGG</u> A <u>T</u> <u>CTT</u> GC 3' (1334) (SEQ ID NO:41)
Mu-6R	(1472) 5' AACT <u>TTT</u> <u>GCC</u> <u>AT</u> <u>TCT</u> <u>CA</u> <u>CC</u> <u>A</u> <u>G</u> ATT <u>CG</u> A <u>TC</u> GT <u>CA</u> CT <u>CA</u> 3' (1436) (SEQ ID NO:42)
Mu-7R	(1568) 5' CG <u>CA</u> AT <u>CA</u> <u>CG</u> A <u>AT</u> <u>GA</u> A <u>AT</u> <u>AT</u> <u>G</u> TT <u>GG</u> TT <u>G</u> A <u>T</u> <u>CG</u> G <u>AG</u> TG 3' (1530) (SEQ ID NO:43)

Mu-8R	(1652) 5' TGGCAGTGTCTG <u>A</u> CG <u>T</u> TCGATTCGATTCTGTT 3' (1615) (SEQ ID NO:44)
Mu-9R	(1736) 5' TACTCACCACTGCGATCC <u>T</u> GGAAAAACAGCATTCCAG 3' (1736) (SEQ ID NO:45)
Mu-10R	(1779) 5' CCGACC <u>A</u> CAAGCATT <u>T</u> TAT <u>A</u> CGTACTCCTGATGATGCA 3' (1741) (SEQ ID NO:46)
Mu-(11+12)	(1796) 5' C <u>A</u> GAATT <u>T</u> TATGCCTCTTCC <u>C</u> ACCATCAAGCATT <u>T</u> TATAC 3' (1758) (SEQ ID NO:47)
Mu-13R	(1901) 5' ATCGCTTGATGGGAAGCC <u>A</u> GATGCC <u>G</u> AGAGTTGTT 3' (1882) (SEQ ID NO:48)
Mu-14R	(1943) 5' AATGGGCTCGCGATAATGT <u>A</u> GGGCAATCAGGTGCGAC 3' (1907) (SEQ ID NO:49)
Mu-15R	(2002) 5' ACGGGAAACGTCGAGGCC <u>A</u> CGATTAAATTCCAACATGG 5' (1965) (SEQ ID NO:50)

[(SEQ ID NO:23-50, respectively)]

Please re-write Table 2, beginning on page 59, line 1, as follows:

Table 2 Nucleotide and amino acid sequences of the *AlwNI-EcoO109I* fragment (SEQ ID NO:80)

kan(wt)	2180	AGGGGCTCG	TGATACGCC	ATTTTATAG	GTTAATGTCA	TGGGGGGGG	GGGAAAGCC
kan(wt)	2120	ACGTTGTGTC	TCAAAATCTC	TGATGTACA	TTCACAGAGA	TAAAATATA	TCATCATGAA
kan(wt)	2060	CARTAAAACT	GTCTGCCTAC	ATAAACAGTA	ATACAGGGG	TGTTATGAGC	CATATTCAAC
kan(mu)						M S	H I Q
ORF						GGGTATAAT	
kan(wt)	2000	GGGAACGTC	GAGGCCGCGA	TTAAATTCCA	ACATGGATGC	TGATTATAT	
kan(mu)			A				GGGTATAAT
ORF		R E T S	R P R L	N L S	N M D A	D L Y	G Y K
kan(wt)	1940	GGGCTCGCG	TAATGTCGGG	CAATCAGGTG	CGACAACTCA	TCGCTTGAT	GGGAAGCCG
kan(mu)		A					A
ORF		W A R D	N V G	O S G	A T I Y	R L Y	G K P
kan(wt)	1880	ATGCCCGAGA	GTGTTTCTG	AARCATGCGA	AAGGTAGCGT	TGCCCATGAT	GTTCAGATG
kan(mu)							
ORF		D A P E	L F L	K H G	K G S V	A N D	V T D
kan(wt)	1820	AGATGTCAG	ACTAAACTGG	CTGACGGAT	TTATGCTCT	TCCGACCATC	AAGCATTTA
kan(mu)			A			C	
ORF		E M V R	L N N	L T E	F M P L	P T I	K H F
kan(wt)	1760	TCCGTA	TCTGATGCA	TGGTTACTCA	CCACTGCGAT	CCCCGAAAAA	ACAGCATTCC
kan(mu)		A				T	
ORF		I R T P	D D A	W L L	T T A I	P G K	T A F
kan(wt)	1700	AGGTTATAGA	AGATATCTC	GATTCAAGTG	AAATAATTGT	TGATGCGCTG	GCAGTGTCC
kan(mu)							
ORF		Q V L E	E Y P	D S G	E N I V	D A L	A V F
kan(wt)	1640	TGCGCCGTT	GCATTGATT	CTCTGTTGT	ATTGCTCTT	TAACACCGAT	CGCGTATTTC
kan(mu)		A A A					
ORF		L R R L	H S I	P V C	N C P F	N S D	R V F
kan(wt)	1580	GTCCTCGCTCA	GGCAGCAATCA	CGAAATGAA	ACGGTTTGGT	TGATGCGAGT	GATTTTGTAG
kan(mu)					T		
ORF		R L A Q	A Q S	R M N	N G L V	D A S	D F D
kan(wt)	1520	ACGAGCGTAA	TGGCTGGCT	GTGACGAAAG	TCTGGAAAGA	AAATGATAAA	CTTTGCCAT
kan(mu)							
ORF		D E R N	G N P	V E Q	V W K E	M H K	L L P
kan(wt)	1460	TCTCACCGG	TTCAGTCGTC	ACTCATGGTG	ATTTCCTACT	TGATAAACCT	ATTTTGACG
kan(mu)		A					
ORF		F S P D	S V V	T H G	D F S L	D N L	I F D
kan(wt)	1400	AGGGGAATT	AAATGGTTG	ATTGATGTTG	GACGAGTCGG	AAATGAGAC	CGATACCGG
kan(mu)					T		
ORF		E G K L	I G C	I D V	G R V G	I A D	R Y Q
kan(wt)	1340	ATCTTGCCT	CCTATGGAAC	TGCTCTCGGT	AGTTTCTCC	TTCATTACAG	AAACGGCTT
kan(mu)				T		T	
ORF		D L A I	L W N	C L G	E F S P	S L Q	K R L
kan(wt)	1280	TTCAAAAATA	TGGTATGAT	ATATCCGATA	TGAATTAAT	GCAGTTTCAT	TGATGCTCG
kan(mu)							
ORF		F Q K Y	G I D	N P D	M N K L	Q F H	L M L
kan(wt)	1220	ATGAGTTTT	CTATCAGAA	TGGTAAATT	GGTTGTAACA	CTGGCAGAGC	ATTACGCTGA
kan(mu)							
ORF		D E F F					
kan(wt)	1160	CTTGACGGGA	CGGCCGAGC	TCATGACCAA	ATACCTTAA	CGTAGGTTT	CGTCCACTG
kan(mu)		AC	AA AC				
kan(wt)	1100	AGGGTCAGAC	CCCGTAGAAA	AGATCAAGG	ATCTTCTG	GATCCCTTTT	TTCTGCGGCT
kan(wt)	1040	AATCTGCTGC	TTCGAACAAA	AAAACCCACC	GCTACAGCG	GTGGTTTGT	TGCGGGAATCA
kan(wt)	980	AGAGCTACCA	ACTCTTTTC	CGAAGGTAC	TGGCTTCAGC	AGAGCGACAGA	TACCAATAC
kan(wt)	920	TGTCTCTCTA	GTGAGCGGT	AGTTAGGCCA	CAACTTCAG	AACTCTGTAG	CACCGCCTAC
kan(wt)	660	ATACCTCGCT	CTGCTAATCC	TGGTACAGT	GGCTGCTGCC		

Note: Mutated nucleotides are underlined. The *AlwNI* and *EcoO109I* sites are indicated in bold type. The nucleotide numbering scheme is the same as the backbone vector pUK21.

Please re-write Table 3, beginning on page 60, line 1, as follows:

Plasmid DNA Vectors

Davis *et al.* (1998)

Table 3

Plasmids containing immunostimulatory CpG motifs

Plasmid	Backbone	[No] No. CpG Motifs	Species Specificity and ODN Equivalence of CpG-S Insert
pMCG-16	pMAS	16	mouse-specific CpG motif #1826 ¹
pMCG-50	pMAS	50	
pMCG-100	pMAS	100	
pMCG-200	pMAS	200	
pHCG-30	pMAS	30	human-specific CpG motif - no ODN equivalent ²
pHCG-50	pMAS	50	
pHCG-100	pMAS	100	
pHCG-200	pMAS	200	
pHIS-40	pMAS	40	human-specific CpG motif #2006 ³
pHIS-64	pMAS	64	
pHIS-128	pMAS	128	
pHIS-192	pMAS	192	

¹ sequence of 1826 is TCCATGACGTTCCCTGACGTT (SEQ ID NO:51)

² sequence used as a source of CpG motifs is
GACTTC~~G~~TG~~T~~C~~G~~TCTTCTGTCGCTTTAG~~C~~GCTTCTCCTG~~C~~G~~T~~G~~C~~GTC~~C~~TTG (SEQ ID NO:14)

³ sequence of 2006 is TCGTCGTTTGTCTGGTTTGTCTT (SEQ ID NO:3)

Please re-write Table 4, beginning on page 61, line 1, as follows:

Table 4

Plasmids encoding hepatitis B surface antigen (derived from ayw or adw subtypes of HBV)

Plasmid	Backbone	Insert
pUK-S	pUK21-A2	HBV-S (ayw)
pUKAX-S	pUK21-AX*	HBV-S (ayw)
pMAS-S	pMAS	HBV-S (ayw)
pMCG16-S	pMCG-16	HBV-S (ayw)
pMCG50-S	pMCG-50	HBV-S (ayw)
pMCG100-S	pMCG-100	HBV-S (ayw)
pMCG200-S	pMCG-200	HBV-S (ayw)
pHCG30-S	pHCG-30	HBV-S (ayw)
pHCG50-S	pHCG-50	HBV-S (ayw)
pHCG100-S	pHCG-100	HBV-S (ayw)
pHCG200-S	pHCG-200	HBV-S (ayw)
[pHIS20-S(ad)] pHIS40-S(ad)	[pHIS-20] pHIS-40	HBV-S (adw2)
[pHIS36-S(ad)] pHIS64-S(ad)	[pHIS-36] pHIS-64	HBV-S (adw2)
[pHIS72-S(ad)] pHIS128-S(ad)	[pHIS-72] pHIS-128	HBV-S (adw2)
[pHIS108-S(ad)] pHIS192-S(ad)	[pHIS-108] pHIS-192	HBV-S (adw2)

*pUK21-AX was created by deleting f1 origin from pUK21-A

Please re-write Table 5, beginning on page 62, line 1, as follows:

Table 5 Sequence comparison of pUK21-A2 (SEQ ID NO:83) and pGT (SEQ ID NO:84). 75 point-mutations (indicated with *) in pUK21-A2 results in the gene therapy vector (pGT)

pUK21-A2(1) GAAATTGCGAC TCCCGGGTAC CATGGCATGC ATCGATAGAT CTGGAGCTA GACTAGAGCT
pGT GAAATTGCGAC TCCCGGGTAC CATGGCATGC ATCGATAGAT CTGGAGCTA GACTAGAGCT
pUK21-A2(61) CGCTGTATCG CCGCTTCACTG GCTCTTCATG TGCCAGCCTAT CTGGTTTTTG CCCCTCCCCC
pGT CGCTGTATCG CCGCTTCACTG GCTCTTCATG TGCCAGCCTAT CTGGTTTTTG CCCCTCCCCC
pUK21-A2(121) GTGCCCTCTC TGACCCCTGGA AGGTGCACT CCTAACATGTC TTTCTTAATA AAATGAGGA
pGT GTGCCCTCTC TGACCCCTGGA AGGTGCACT CCTAACATGTC TTTCTTAATA AAATGAGGA
pUK21-A2(181) ATTGCATCGC ATTGTCTCGA TAGGGTCTAT CTATTCCTGG GGGGTGGGG GGGGCGAGCG
pGT ATTGCATCGC ATTGTCTCGA TAGGGTCTAT CTATTCCTGG GGGGTGGGG GGGGCGAGCG
pUK21-A2(241) AGCAAGGGG AGGAATTTGGA AGACAAATAGC AGGCATGCTG GGGAGGCTT CGGAGTACTG
pGT AGCAAGGGG AGGAATTTGGA AGACAAATAGC AGGCATGCTG GGGAGGCTT CGGAGTACTG
pUK21-A2(301) GCGTAATCAT GGTCATAGCT GTTCTTCCTG TGAAATTGTT ATCCGCAC GATTCCACAC
pGT CGGGAATCAT GGTCATAGCT GTTCTTCCTG TGAAATTGTT ATCCGCAC GATTCCACAC
pUK21-A2(361) AACATCAGGG CGCGCGAACG ATAAAGTGTAA AGACCTGGGG TCCTCTATGA GTGAGCTAAC
pGT AACATCAGGG CGCGCGAACG ATAAAGTGTAA AGACCTGGGG TCCTCTATGA GTGAGCTAAC
pUK21-A2(421) TCACATTAAAT TCCGTCGCGC TCACTGGCTT CCTTCAGCTG GGGAACTCTG CGTGTGCCAG
pGT TCACATTAAAT TCCGTCGCGC TCACTGGCTT CCTTCAGCTG GGGAACTCTG CGTGTGCCAG
pUK21-A2(481) TGCAATTAGT AATTCGCGCA CGCGCGGGGA GAGGCGGTTT CGGTATTGG CGCTCTTCGG
pGT TGCAATTAGT AATTCGCGCA CGCGCGGGGA GAGGCGGTTT CGGTATTGG CGCTCTTCGG
pUK21-A2(541) CTTCCTCGT CACTGACTCG CTGGCGCTG TGCTTCGCGT GGGCGGAGCG GTATCAGCTC
pGT CTTCCTCGT CACTGACTCG CTGGCGCTG TGCTTCGCGT GGGCGGAGCG GTATCAGCTC
pUK21-A2(601) ACTCAAAGGC GGTAAATACGG TTATCCACAG ATACAGGGG TAACCGAGGA AGAACATCT
pGT ACTCAAAGGC GGTAAATACGG TTATCCACAG ATACAGGGG TAACCGAGGA AGAACATCT
pUK21-A2(661) GAGCRAAAGG CCGCAAAAGG CGGAGGAAAG GTTAAAGGGC CGCTTGTGCG GCGTTTTTC
pGT GAGCRAAAGG CCGCAAAAGG CGGAGGAAAG CGCTTGTGCG GCGTTTTTC CGCTTGTGCG
pUK21-A2(721) ATAGGCTCGG CCCCCCTGAC GGCATCACA RAAATCGAGC CTCAAGTCAG RGGTGGCCGA
pGT ATAGGCTCGG CCCCCCTGAC GGCATCACA RAAATCGAGC CTCAAGTCAG RGGTGGCCGA
pUK21-A2(781) ACCCGCAGACG ACTTAAAGAG TACRAGGGCT TTCCCCCTGG AAAGTCCTCTG GTGCGCTCTC
pGT ACCCGCAGACG ACTTAAAGAG TACRAGGGCT TTCCCCCTGG AAAGTCCTCTG GTGCGCTCTC
pUK21-A2(841) CTGTTCCGAC CCTGGCGCTT ACCGGATFACC TFCGGCTT CGAACAGCTGG CGAACAGCTGG
pGT CTGTTCCGAC CCTGGCGCTT ACCGGATFACC TFCGGCTT CGAACAGCTGG CGAACAGCTGG
pUK21-A2(901) CGCTTCTCA TAGCTCACCG TGAGGTATC TCAGTTGGG TGAGGTCTGT CGCTCCAAAGC
pGT CGCTTCTCA TAGCTCACCG TGAGGTATC TCAGTTGGG TGAGGTCTGT CGCTCCAAAGC
pUK21-A2(961) TGGGCTGTGT GCACGAGACCC CGCGGTGCGC CGCGGGCTGC CGCTTATTCG GTAAACTATC
pGT TGGGCTGTGT GCACGAGACCC CGCGGTGCGC CGCGGGCTGC CGCTTATTCG GTAAACTATC
pUK21-A2(1021) GTCTTGAGTC CAACCCGGTA AGAACAGACT TATCCGCACT GGACGGAGCC ACTGGTAAACA
pGT GTGGCTGTGT GCACGAGACCC CGCGGTGCGC CGCGGGCTGC CGCTTATTCG GTAAACTATC
pUK21-A2(1061) GGATTAAGCA AGCGAGGATAT GTAGGGCGGTG CTACAGAGTT CTGGAGTGTG CGCTGCTTAAC
pGT GGATTAAGCA AGCGAGGATAT GTAGGGCGGTG CTACAGAGTT CTGGAGTGTG CGCTGCTTAAC
pUK21-A2(1141) ACGGCTACAC TAGAGAACAA GTATTTGGTA TCTGGCTCT GCTGAAGCC GTTACCTTCG
pGT ACGGCTACAC TAGAGAACAA GTATTTGGTA TCTGGCTCT GCTGAAGCC GTTACCTTCG
pUK21-A2(1201) GAAAAGAGT TGCTTAGCTT TGATCCGGCA AACAAACACCG CGCTGTAGAC GTGGTTTTTT
pGT GAAAAGAGT TGCTTAGCTT TGATCCGGCA AACAAACACCG CGCTGTAGAC GTGGTTTTTT
pUK21-A2(1261) GAAAAAAAGGT TGCTTAGCTT TGATCCGGCA AACAAACACCG CGCTGTAGAC GTGGTTTTTT
pGT GAAAAAAAGGT TGCTTAGCTT TGATCCGGCA AACAAACACCG CGCTGTAGAC GTGGTTTTTT
pUK21-A2(1321) TTCTACGGG GTCTACGGCT CAGTGGNAAAG AACAAACACCG TTAAAGGGTT TTGGCTCATGA
pGT TTCTACGGG GTCTACGGCT CAGTGGNAAAG AACAAACACCG TTAAAGGGTT TTGGCTCATGA

20050410-20050410

pUK21-A2(1381)	GCTTGCAGCCG	TCCCCTCAAG	TCAGCGTAAT	GCTCTGCGAG	TGTTTCAACAA	AATTAAACCA
pGT	GCTTGCAGCCG	TCCCCTCAAG	TCACCCGAAT	GCTCTGCGAG	TGTTTCAACAA	AATTAAACCA
pUK21-A2(1441)	TTCTGATTAG	AAAAACTCAT	CGAGCATCAA	ATGAAACTGC	AATTTTATCA	TATCAGGATT
pGT	TTCTGATTAG	AAAAACTCAT	CGAGCATCAA	ATGAAACTGC	AATTTTATCA	TATCAGGATT
pUK21-A2(1501)	ATCAATACCA	TATTTTGAA	AAAGCCGTTT	CTGTAATGAA	GGAGAAAAC	CACCGAGGCA
pGT	ATCAATACCA	TATTTTGAA	AAAGCCGTTT	CTGTAATGAA	GGAGAAAAC	CACCGAGGCA
pUK21-A2(1561)	GTTCCTATGG	ATGGCAAGAT	CCTGGTATCG	GTCTCGGATT	CGGACTCGTC	CAACATCAA
pGT	GTTCCTATGG	ATGGCAAGAT	CCTGGTATCG	GTCTCGGATT	CGGACTCGTC	CAACATCAA
pUK21-A2(1621)	ACAACCTATT	AATTTCCCT	CGTCAAAAT	AGGGTTATCA	AGTCGAAATT	CACCATGAGT
pGT	ACAACCTATT	AATTTCCCT	CGTCAAAAT	AGGGTTATCA	AGTCGAAATT	CACCATGAGT
pUK21-A2(1681)	GACGACTGAA	TCCGGTGRGA	ATGGCAAAAG	TTATGCAATT	TCTTTCAGA	CTTGTTCAC
pGT	AACTACTGAA	TCCGGTGRGA	ATGGCAAAAG	TTATGCAATT	TCTTTCAGA	CTTGTTCAC
pUK21-A2(1741)	AGGCCAGCCA	TTACGCTCGT	CATCRAAATC	ACTCCATCA	ACCAACCGT	TATTCAATTG
pGT	AGGCCAGCCA	TTACGCTCGT	CATCRAAATC	GGAGCATCA	ACCAACCGT	TATTCAATTG
pUK21-A2(1801)	TGATTTGGCC	TGAGCGAGAC	GAARTACTGG	ATCGCTGTTA	AAAGGACAA	TACAAACAGG
pGT	GGATTTGGCC	TGAGCGAGAC	GAARTACTGG	ATCGCTGTTA	AAAGGACAA	TACAAACAGG
pUK21-A2(1861)	AATCGAATGC	AAACGGCGCA	GGAAACACTGC	CAGGGCATCA	ACATATT	CACCTGAATC
pGT	AATCGAATGC	AAACGGCGCA	GGAAACACTGC	CAGGGCATCA	ACATATT	CACCTGAATC
pUK21-A2(1921)	AGGATATTCT	TCTTATACCT	GGAAATCGCTG	TTTTCGCGGG	ATCGCACTGG	TGAGTAACCA
pGT	AGGATATTCT	TCTTATACCT	GGAAATCGCTG	TTTTCGCGGG	ATCGCACTGG	TGAGTAACCA
pUK21-A2(1981)	TGCAATCATCA	GGAGTACCGA	AAAAATGCTT	GTAGGTCGGA	AGAGGCATAA	ATTCGCTAG
pGT	TGCAATCATCA	GGAGTACCGA	AAAAATGCTT	GTAGGTCGGA	AGAGGCATAA	ATTCGCTAG
pUK21-A2(2041)	CCAGTTTGT	CTGGAACATCT	CATCTGTAAC	ATCCTTGGCA	ACGCTACCTT	TGCATGTTT
pGT	CCAGTTTGT	CTGGAACATCT	CATCTGTAAC	ATCCTTGGCA	ACGCTACCTT	TGCATGTTT
pUK21-A2(2101)	CAGAAACAC	TCTGGCGCAT	CGGGCTTCCC	ATRACAGCGA	TAGNTTGTG	CACCTGATTG
pGT	CAGAAACAC	TCTGGCGCAT	CGGGCTTCCC	ATRACAGCGA	TAGNTTGTG	CACCTGATTG
pUK21-A2(2161)	CCCCGACATTA	TGGCGAGCCC	ATTTATACCC	ATATTAATCA	GCATCCNTGT	TGAAATTAA
pGT	CCCCGACATTA	TGGCGAGCCC	ATTTATACCC	ATATTAATCA	GCATCCNTGT	TGAAATTAA
pUK21-A2(2221)	TGCGGCGCTC	GACGTTTCCC	GTGGAATATG	GTCATAAAC	CCCCCTGTAT	TACTGTTTAT
pGT	TGCGGCGCTC	GACGTTTCCC	GTGGAATATG	GTCATAAAC	CCCCCTGTAT	TACTGTTTAT
pUK21-A2(2281)	GTAAGCAGAC	AGTTTTATATG	TCTATGATGA	TATTTTTTA	TCTTGTGCAA	TGTAACATCA
pGT	GTAAGCAGAC	AGTTTTATATG	TCTATGATGA	TATTTTTTA	TCTTGTGCAA	TGTAACATCA
pUK21-A2(2341)	GAGATTTGAA	GACACGACCT	GGCTTTCCCC	CCCCCCCCCA	TGACATTAA	CTATAAAAT
pGT	GAGATTTGAA	GACACGACCT	GGCTTTCCCC	CCCCCCCCCA	TGACATTAA	CTATAAAAT
pUK21-A2(2401)	AGGGCTATCA	CGGGCGCCCTT	TGCTTCTCGG	CGTTTCCGGT	ATGACGCTGTA	AAACCTCTGA
pGT	AGGGCTATCA	CGGGCGCCCTT	TGCTTCTCGG	CGTTTCCGGT	ATGACGCTGTA	AAACCTCTGA
pUK21-A2(2461)	CACATGCAGC	TCCCGAGAC	GGTCACAGCT	TGTCGTAA	CGGATGCCGG	GAGCAGACAA
pGT	CACATGCAGC	TCCCGAGAC	GGTCACAGCT	TGTCGTAA	CGGATGCCGG	GAGCAGACAA
pUK21-A2(2521)	GGCCGTCAGG	GGCCGTCAGG	GGGTGTCTGG	GGGTGTCTGG	GCTGGCTTAA	CTATCGGCA
pGT	GGCCGTCAGG	GGCCGTCAGG	GGGTGTCTGG	GGGTGTCTGG	GCTGGCTTAA	CTATCGGCA
pUK21-A2(2581)	TCAGAGCAGA	TTCGACTCG	AGTCGACCAT	AAATTTGAA	AGCTTAATAT	TTTGTAAAAA
pGT	TCAGAGCAGA	TTCGACTCG	AGTCGACCAT	AAATTTGAA	AGCTTAATAT	TTTGTAAAAA
pUK21-A2(2641)	TTCCGGTAA	ATTTTTGTTA	ATTCAGCTCA	TTTTTTAAC	ATAGACCGA	AATCGCAGAA
pGT	TTCCGGTAA	ATTTTTGTTA	ATTCAGCTCA	TTTTTTAAC	ATAGACCGA	AATCGCAGAA
pUK21-A2(2701)	ATCCCTTATA	AATCAAAAGA	ATAGCCCGAG	ATAGAGTTGA	GTGTTGTTCC	AGTTGGAAC
pGT	ATCCCTTATA	AATCAAAAGA	ATAGCCCGAG	ATAGAGTTGA	GTGTTGTTCC	AGTTGGAAC
pUK21-A2(2761)	AAAGGTCCAC	TATTAAGAGA	CGTGGACTCC	AAACGTCAAAG	GGCGAAAAAC	CGTCTATCAG
pGT	AAAGGTCCAC	TATTAAGAGA	CGTGGACTCC	AAACGTCAAAG	GGCGAAAAAC	CGTCTATCAG

pUK21-A2 (2821)	GGCGATGCC pGT	CACCCGATT ----- -----	TAGAGCTTGA TAGAGCTTGA ----- -----	CGGGGAAAGC CGGGGAAAGC ----- -----	CGGCGAACGT CGGCGAACGT ----- -----	GGCGGAAAAAG ----- -----
pUK21-A2 (2881)	GAAGGGAAAAGA pGT	AACCGAAGAG ----- -----	AGCGGGCGCT AGCGGGCGCT ----- -----	AAAGGGCTGG AAAGGGCTGG ----- -----	CAAATGTTAG CAAATGTTAG ----- -----	GSGTCAGCTG GSGTCAGCTG ----- -----
pUK21-A2 (2941)	CGCGTAACCA pGT	CCACACCCGC CGCGTAACCA ----- -----	CGGCCCTIAAT CGGCCCTIAAT ----- -----	CGGCCGCTAC CGGCCGCTAC ----- -----	AGGGCGCTTA AGGGCGCTTA ----- -----	CTATGGTTC CTATGGTTC ----- -----
pUK21-A2 (3001)	TTTGACGTAT pGT	GGCGGTGAA TTTGGCGTAT ----- -----	ATACCGCACA GCGCTTAAAT ----- -----	GATTCGTAAG GATTCGTAAG ----- -----	GAGRAAATAC GAGRAAATAC ----- -----	CGCATCAGGC CGCATCAGGC ----- -----
pUK21-A2 (3061)	GCCATTGCGC pGT	ATTCAAGGCTG GCTACCGGTC ----- -----	CGCAACTGTG CGCAACTGTG ----- -----	GGGAAGGGCC GGGAAGGGCC ----- -----	ATCGGTGCGG ATCGGTGCGG ----- -----	GCCTCTTCGC GCCTCTTCGC ----- -----
pUK21-A2 (3121)	TATTACGCCA pGT	GCTGGCAA TATTGCCCA ----- -----	GGGGGATGTG GGGGGATGTG ----- -----	CTGCAAGGGC CTGCAAGGGC ----- -----	ATTRAAGTGG ATTRAAGTGG ----- -----	GTAAACCCAG GTAAACCCAG ----- -----
pUK21-A2 (3181)	GGTTTTCCC pGT	GTCAGCACCGT GGTTTTCCC ----- -----	GTCAGCACCGT GTCAGCACCGT ----- -----	GTAAACCGA GTAAACCGA ----- -----	ATTTGAATAC ATTTGAATAC ----- -----	GACTCACTAT GACTCACTAT ----- -----
pUK21-A2 (3241)	AGGGCGATT pGT	GGGGATCGAT AGGGCGATT ----- -----	CCACTAGTTTC CCACTAGTTTC ----- -----	TGATTCGGAT TAGATCCGGAT ----- -----	GTACGGGCCA GTACGGGCCA ----- -----	GATATAACCG GATATAACCG ----- -----
pUK21-A2 (3301)	TTGACATTTGA pGT	TTATTGACTA TTGACATTTGA ----- -----	TTTATTAATA TTTATTAATA ----- -----	TTTATTAATA TTTATTAATA ----- -----	ACGGGGTCAT ACGGGGTCAT ----- -----	TAGTTCATAG TAGTTCATAG ----- -----
pUK21-A2 (3361)	TTGACATTTGA pGT	TTATTGACTA TTGACATTTGA ----- -----	TTTATTAATA TTTATTAATA ----- -----	TTTATTAATA TTTATTAATA ----- -----	ACGGGGTCAT ACGGGGTCAT ----- -----	TAGTTCATAG TAGTTCATAG ----- -----
pUK21-A2 (3421)	CAAGGACC pGT	CGGCCATTGTA CAAGGACC ----- -----	GCTCAATTAT CGGCCATTGTA ----- -----	GAACCTATGG GAACCTATGG ----- -----	CCCCATAGTA CCCCATAGTA ----- -----	CGCCCATAGG CGCCCATAGG ----- -----
pUK21-A2 (3481)	GACTTCCAT pGT	TGACGTCAAT GACTTCCAT ----- -----	GGGGGAGATA GGGGGAGATA ----- -----	TTTACGGTAA TTTACGGTAA ----- -----	ACTGCCACT ACTGCCACT ----- -----	TGGCACTACA TGGCACTACA ----- -----
pUK21-A2 (3541)	TCAAGTGT pGT	CATATGCCAA TCAAGTGT ----- -----	GTACGCCCCC GTACGCCCCC ----- -----	TATTGACGTG TATTGACGTG ----- -----	ATATGRCGTA ATATGRCGTA ----- -----	AATGCCCGC AATGCCCGC ----- -----
pUK21-A2 (3601)	CTGGCATATT pGT	GGCCAGTACA CTGGCATATT ----- -----	TGACCTTATG TGACCTTATG ----- -----	GGACATTTCCT GGACATTTCCT ----- -----	ACTTGGCAGT ACTTGGCAGT ----- -----	ACATCTACGT ACATCTACGT ----- -----
pUK21-A2 (3661)	ATTAGTCATC pGT	GCTATTACCA ATTAGTCATC ----- -----	TGGTGTATGG TGGTGTATGG ----- -----	TTTTGGCAG TTTTGGCAG ----- -----	TACATCAATG TACATCAATG ----- -----	GGCGTGGATA GGCGTGGATA ----- -----
pUK21-A2 (3721)	GGGGTTTGAC pGT	TCAACGGGAT GGGGTTTGAC ----- -----	TTTCAAGTCT TCAACGGGAT ----- -----	CCACCCCCAT CCACCCCCAT ----- -----	GACGTCAATG GACGTCAATG ----- -----	GGAGTTTGTG GGAGTTTGTG ----- -----
pUK21-A2 (3781)	TTGGCACCAA pGT	AATCAACCGG TTGGCACCAA ----- -----	ACTTTCAAA ACTTTCAAA ----- -----	ATGTCGTAAC ATGTCGTAAC ----- -----	AATTCGCC AATTCGCC ----- -----	CATTGACGCA CATTGACGCA ----- -----
pUK21-A2 (3841)	AATGGCGGT pGT	AGGCCTGTAC AATGGCGGT ----- -----	GCTGGAGGT GCTGGAGGT ----- -----	CTATATAAGC CTATATAAGC ----- -----	AGAGCTCTCT AGAGCTCTCT ----- -----	GGCTAACTAG GGCTAACTAG ----- -----
pUK21-A2 (3901)	AGAACCCACT pGT	GCTTACTGGC AGAACCCACT ----- -----	TTATGAAAT TTATGAAAT ----- -----	TGCCGCGGCC TGCAGCGGCC ----- -----	ACGGCGNATAT ACGGCGNATAT ----- -----	CGGATCCATA CGGATCCATA ----- -----
pUK21-A2 (3961)	TGACGTCGAC pGT	GCGTCTGCA TGACGTCGAC ----- -----	AGACCTTC AGACCTTC ----- -----	----- ----- ----- -----	----- ----- ----- -----	----- ----- ----- -----

Please re-write Table 6, beginning on page 64, line 1, as follows:

Table 6 ODN used with plasmid DNA

Backbone	ODN code number	Sequence
S-ODN	1826	TCCATGAC <u>G</u> TTCCCTGAC <u>G</u> TT (SEQ ID NO:51)
	1628	GGGGTCAAC <u>G</u> TTGAGGGGGG (SEQ ID NO:52)
	1911	TCCAGGACTT <u>C</u> CTCAGGTT (SEQ ID NO:53)
	1982	TCCAGGACTT <u>C</u> TCAGGTT (SEQ ID NO:54)
	2017	CCCCCCCCCCCCCCCCCCCC (SEQ ID NO:55)
O-ODN	2061	TCCATGAC <u>G</u> TTCCCTGAC <u>G</u> TT (SEQ ID NO:56)
	2001	GC <u>G</u> GC <u>G</u> CG <u>G</u> CG <u>G</u> CG <u>G</u> CG (SEQ ID NO:57)
SOS-ODN	1980	TCCATGAC <u>G</u> TTCCCTGAC <u>G</u> TT (SEQ ID NO:58)
	1585	GGGGTCAAC <u>G</u> TTGAGGGGGG (SEQ ID NO:59)
	1844	TCTCCCA <u>G</u> CG <u>G</u> CCATAT (SEQ ID NO:60)
	1972	GGGGTCTGCTGCTTTGGGGGG (SEQ ID NO:61)
	2042	TCAGGGGTGGGGGAACCTT (SEQ ID NO:62)
	1981	GGGGTTGAC <u>G</u> TTTGGGGGG (SEQ ID NO:63)
	2018	TCTAGC <u>G</u> TTTTAGCGTTCC (SEQ ID NO:64)
	2021	<u>T</u> CGTC <u>G</u> TTGTC <u>G</u> TTGTC <u>G</u> TT (SEQ ID NO:65)
	2022	<u>T</u> CGTC <u>G</u> TTGTC <u>G</u> TTTGTC <u>G</u> TT (SEQ ID NO:66)
	2023	<u>T</u> CGTC <u>G</u> TTGTC <u>G</u> TTTGTC <u>G</u> TT (SEQ ID NO:67)

[Note: (SEQ ID NO:51-67, respectively)]

SOS-ODN had two S-linkages at the 5' end, five S-linkages at the 3' end, and O-linkages in between.

Three ODN used in this study were of the same murine-specific immunostimulatory sequence in three different backbones (1826, 2061 and 1980).

All ODN were synthesized by Hybridon (Milford, MA) or Operon (Alameda, CA). ODN were ethanol precipitated and resuspended in saline prior to use alone or as an additive to the plasmid DNA solution.

Please re-write Table 10 beginning on page 68, line 1, as follows:

Table 10

Inhibitory CpG motifs can block B cell proliferation induced by a stimulatory CpG motif

Oligonucleotide added	cpm
medium	194
1668 (TCCATGACGTTCTGATGCT) (SEQ ID NO:68)	34,669
1668 + 1735 (GCGTTTTTTTGCG) (SEQ ID NO:69)	24,452
1720 (TCCATGAGCTCCTGATGCT) (SEQ ID NO:70)	601
1720 + 1735	1109

Splenic B cells from a DBA/2 mouse were cultured at 5×10^4 cells/100 μ l well in 96 well microtiter plates in RPMI as previously described (Krieg, *et al.*, 1995) with or without the indicated phosphorothioate modified oligonucleotides at a concentration of 60 ng/ml for 48 hr. The cells were then pulsed with 3 H thymidine, harvested, and the cpm determined by scintillation counting. The stimulatory CpG oligo 1668 was slightly but significantly inhibited by the inhibitory motifs in oligo 1735. The non CpG oligo 1720 is included as a negative control. [(SEQ ID NO:68-70, respectively).]

Please re-write Table 11, beginning on page 69, line 1, as follows:

Table 11

Inhibitory effects of "bad" CpG motifs on the "good" CpG Oligo 1619

Notes:

The sequence of oligo 1619 is TCCATGTCGTTCTGATGCT (SEQ ID NO:71)
 1949 has only 1 GCG at the 3' end, which has essentially no inhibitory activity

Oligonucleotide added	IL-12 in pg/ml
medium	0
1619 alone	6
1619 + 1949 (TCCATGTC <u>GTTCTGATGCG</u>) (<u>SEQ ID NO:72</u>)	16
1619 + 1952 (TCCATGTC <u>GTTCCGCCGCGC</u>) (<u>SEQ ID NO:73</u>)	0
1619 + 1953 (TCCATGTC <u>GTTCTGCCGCT</u>) (<u>SEQ ID NO:74</u>)	0
1619 + 1955 (GGGGCGGGCGGCCGCC) (<u>SEQ ID NO:75</u>)	0

Human PBMC were cultured in 96 well microtiter plates at 10^5 /200 μ l for 24 hr in RPMI containing 10% autologous serum. Supernatants were collected at the end of the culture and tested for IL-12 by ELISA. All wells except the control (medium) contained 60 μ g/ml of the stimulatory CpG oligodeoxynucleotide 1619; stimulatory (1949) and inhibitory (all other sequences have a strong inhibitory motif) oligos were added to the indicated wells at the same concentration at the beginning of culture. All oligos have unmodified backbones.

Please re-write Table 13 beginning on page 71, line 1, as follows:

Table 13 Identification of neutralizing CpG motifs which reduce the induction of cytokine secretion by a CpG-S motif in the same ODN (*cis*-neutralization)

ODN	sequence 5'-3' ¹	ODN-induced cytokine expression ²		
		IL-6 ²	IL-12	IFN- γ
None		<5	206	898
1619	TCCATGTCGGTCTGATGCT (SEQ ID NO:71)	1405	3130	4628
1952 GCGCGC (SEQ ID NO:73)	559	1615	2135
1953 CC... (SEQ ID NO:74)	577	1854	2000

¹ Dots in the sequence of ODN 1952 and 1953 indicate identity to ODN 1619; CpG dinucleotides are underlined for clarity. ODN without CpG-N or CpG-S motifs had little or no effect on cytokine production. The data shown are representative of 4 experiments.

² All cytokines are given in pg/ml; measured by ELISA on supernatants from DBA/2 spleen cells cultured in 96 well plates at 2 X 10⁷ cells/ml for 24 hr with the indicated ODN at 30 μ g/ml. Std. dev. of the triplicate wells was >7%. None of the ODN induced significant amounts of IL-5

T T G G C G D * T T T S G G G D

Please re-write Table 14 beginning on page 72, line 1, as follows:

Table 14 Inhibition of CpG-induced cytokine secretion by ODN containing CpG-N motifs

ODN	sequence 5'-3'	IL-12 secretion ¹	CpG-S-induced IL-12 secretion ²
none		268	5453
1895	GCGCGCGCGGGCGGCCGC (SEQ ID NO:76)	123	2719
1896	CCGGCG <u>GCGCGGCGGGCGG</u> (SEQ ID NO:77)	292	2740
1955	GCGCGGGCG <u>GCGCGGCC</u> (SEQ ID NO:75)	270	2539
2037	TCCAT <u>CCGGTTCCTGCCGT</u> (SEQ ID NO:78)	423	2847

¹BALB/c spleen cells were cultured in 96 well plates at 2 X 10⁷ cells/ml with the indicated ODN for 24 hr and then the supernatants were assayed for IL-12 by ELISA (pg/ml).

²Cells were set up the same as in ¹ except that IL-12 secretion was induced by the addition of the CpG ODN 1619 [(TCCATGACGCTTCCTGATGCT)] (TCCATGTCGCTTCCTGATGCT) (SEQ ID NO:71) at 30 µg/ml. The data shown are representative of 5 experiments.